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ITU-APT Foundation of India (IAFI¹)

UPDATES TO WORKING DOCUMENT TOWARDS A PRELIMINARY DRAFT NEW REPORT ITU-R M.[CAV]

1 Introduction

WP 5A is working towards a new report on "Connected Automated Vehicles (CAV)". The working document on CAV was developed in the WP 5A 23rd meeting in July 2020 and further progress on working document towards a Preliminary Draft New Report ITU-R M.[CAV] continued in WP 5A 25th meeting in May 2021. The current working document is included in Attachment 24 to the Chairman's report (Attachment 24/ Document 5A/359). As described in the Question ITU-R 261/5, WP 5A is studying several aspects including 1) service requirements: service type, service concept, grade of service; 2) radiocommunication requirements: sensors, radio interfaces, data rate, latency, reliability; 3) improvement factors: safety, control, energy savings, traffic management, congestion control.

2 Proposal

In this contribution, we are providing some updates and comments to the working document towards Preliminary Draft New Report ITU-R [M.CAV]. These are highlighted in yellow. The proposed changes include:

- Notes on pages 14 and 50
- Added text on page 54
- Reformatting and renumbering of tables in section 8.

3 Enclosures

Annexure 1: Editorial updates to the draft Document 5A/TEMP/106, Annex 24 to Document 5A/359-E

¹ ITU-APT Foundation of India is sector member of ITU-R. For details, please see <u>https://itu-apt.org</u>



ANNEXURE 1

Annex 24 to Working Party 5A Chairman's Report

WORKING DOCUMENT TOWARDS A PRELIMINARY DRAFT NEW REPORT ITU-R M.[CAV]

Question ITU-R 261/5

Scope

[This Report provides...]

[Japan's comments: it is suggested to include Scope in this Report.]

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[Editor's note: Page numbers below need to be updated as this document is revised.]

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1 Introduction

There are around 1.5 billion road vehicles in the world, including trucks and buses. Connected Automated Vehicles (CAVs) have the potential to reduce crashes, thereby reducing traffic fatalities and crash-related injuries. CAVs also provide information to road operators about congestion and traffic crashes to support increased efficiency of traffic and comfortable driving.

There is a potential for CAVs to smooth traffic flows. This could reduce the congestion, increase fuel and energy economy, and increase the road and highway capacity.

Higher levels of vehicle automation are currently under extensive development. Wireless communication requirements are a consideration for inclusion of coordinated automated driving maneuvers and other advanced use cases in connected automated vehicle developments and deployments. Harmonization of frequency bands facilitates global markets and innovation. As well, spectrum harmonization may be the best approach to facilitate interoperability among CAVs.

CAVs are being planned to be or are deployed in various regions encompassing various stages of automation involving different levels of human intervention and radiocommunications for CAVs may be implemented in frequency bands already allocated to the land mobile service.

This Report addresses overall objectives and radiocommunication requirements for CAVs, including the consideration of global or regional harmonization of frequency spectrum for CAVs.

2 Vocabulary

2.1 Vocabulary of terms

2.2 Acronyms and abbreviations

CAV	Connected automated vehicle
ITS	Intelligent Transport System
V2I	Vehicle to Infrastructure
V2N	Vehicle to Network
V2N2V	Vehicle to Network to Vehicle
V2P	Vehicle to Pedestrian
V2V	Vehicle to Vehicle
V2X	Vehicle to Anything

3 Related ITU-R Texts

Recommendation <u>ITU-R M.1452</u> "Millimetre wave vehicular collision avoidance radars and radiocommunication systems for intelligent transport system application"

- Recommendation <u>ITU-R M.1453</u> "Intelligent transport systems Dedicated short range communications at 5.8 GHz"
- Recommendation <u>ITU-R M.1890</u> "Operational radiocommunication objectives and requirements for advanced Intelligent Transport Systems"
- Recommendation <u>ITU-R M.2057</u> "Systems characteristics of automotive radars operating in the frequency band 76-81 GHz for intelligent transport systems applications"

- Recommendation <u>ITU-R M.2084</u> "Radio interface standards of vehicle-to-vehicle and vehicle-toinfrastructure two-way communications for Intelligent Transport System applications"
- Recommendation <u>ITU-R M.2121</u> "Harmonization of frequency bands for Intelligent Transport Systems in the mobile service"
- Report ITU-R M.2228 "Advanced intelligent transport systems (ITS) radiocommunications"
- Report <u>ITU-R M.2322</u> "Systems characteristics and compatibility of automotive radars operating in the frequency band 77.5-78 GHz for sharing studies"
- Report <u>ITU-R M.2444</u> "Examples of arrangements for Intelligent Transport Systems deployments under the mobile service"

Report ITU-R M.2445 "Intelligent transport systems (ITS) usage"

- [Draft new] Report ITU-R M.[IMT.C-V2X] "Application of the Terrestrial Component of IMT for Cellular-V2X"
- Handbook on Land Mobile (including Wireless Access) Volume 4: Intelligent Transport Systems. Year 2021

4 Connected automated vehicles in the context of ITS

There are some specific terms used that are related to CAV, including:

- 1) Connected Vehicle (CV). A vehicle is referred to as a CV if V2X communication equipment is mounted and an Advanced ITS application is supported by using cooperative V2X connectivity.
- 2) Automated Vehicle (AV). A vehicle is referred to as an AV if in-vehicle perception sensors like automotive radar, camera, lidar are mounted and automated driving applications are supported using those sensors only.
- 3) Connected Automated Vehicles (CAV). A vehicle is referred to as a CAV if in-vehicle perception sensors and V2X communication equipment are mounted and automated driving applications are supported using both in-vehicle perception sensors and cooperative V2X connectivity.
- 4) Connected and Cooperative Automated Vehicle (CCAV). The intended understanding is essentially the same as CAV above.
- 5) Connected and Cooperative Automated Mobility (CCAM). The intended understanding is essentially the same as CAV above.
- 6) Connected Automated Driving (CAD). The intended understanding is essentially the same as CAV above.
- 7) [IoT based CAV (referred to as IoT-CAV). Recently, a vehicle is labeled as IoT-CAV if the ultra-connectivity using IoT devices and platforms is applied for CAV.]
- 8) Vehicle to Anything (V2X). V2X consists of short range communication V2V, V2I, V2P; and, optionally, long range communication with V2N. Both short-range and long-range V2X support a hybrid communication concept to serve for CAV applications.

For the purposes of this Report, the definition of CAV is:

CAVs are vehicles with V2X communication capability blended with automated functionality beginning at SAE Level 2+ up to Level 5. The latter consists of a combination of advanced driver assistance system (ADAS) using sensors such radar, camera, and lidar (line-of-sight technologies). The V2X communication extends the

awareness horizon of ADAS by obtaining information outside the detection range of onboard sensors, providing information of one's own vehicle, and communicating intention mutually with other vehicles and infrastructure via V2X connectivity as well as by charting both location and intention of other road users such as vehicles, and it has the ability to "see" and "talk" beyond other objects in real-time (non-line-of-sight). This achieves safer and smoother automated driving control based on the automated driving system.

[Japan's comments: Adding the aspect of "talk" in the CAV definition.]

Automated Vehicles (AVs), also sometimes referred to as driverless cars or autonomous vehicles, are under development by most of the major global automakers. Developments are important in technical areas, but also in regulatory areas, as the potential impacts on society become better understood. "The U.S. Government is actively pursuing a range of regulatory and non-regulatory activities that will enable the adoption of AVs, with the overall goal to facilitate the safe and full integration of AV technologies into the national surface transportation system. Integration would help realize the great potential AV technologies have for enhancing public safety, making systems more efficient, and facilitating economic vitality."²

To better define what is meant by the term "AV", SAE International has developed a standard description of six levels of vehicle automation, ranging from Level 0 – no automation, to Level 5 – full automation³. These descriptions of the six levels of driving automation provide a thorough, systematic technical definition of CAVs. While the capabilities for Level 5 - full automation in all conditions - have generated public expectations, the realization of Level 5 automation has not been as rapid as initially thought. For the purposes of this Report, Levels 3, 4 and 5 form the 'automated' portion of the CAV definition. This is viewed as necessary, but not yet sufficient, for the overall CAV definition.

As indicated in the definition of CAV, V2X communication is an essential component of CAVs. V2X communication provides ears and mouth to the automated vehicle and enables cooperative ITS where the end users are not only consuming information but also providing it. V2X communication is essential for bringing automated driving to the streets. V2X communication enables applications intended to improve road traffic safety and boost road traffic efficiency on all SAE levels.

A vehicle possesses information on its own status and the surrounding traffic environment obtained from GNSS and on-board sensors. Such information is required for, and contributes to, smooth automatic driving. In addition, cooperative V2X connectivity enables mutual communication among the vehicles or between the vehicle and the external stakeholders, such as road administrators, traffic managers. Negotiation between the vehicles can be initiated with communicating the intentions to the surrounding vehicles. Requests for mediation may be made by the vehicle to the traffic manager.

[Japan's comments: Describing one of the characteristics of CAVs that they communicate their collected data to other vehicles and infrastructure.]

There are specific relationships between applied technologies and vehicle functionality. The vehicles can be classified into Connected Vehicle (CV), Automated Vehicle (AV), Connected and Automated Vehicle (CAV) (or CAV equivalent terminologies) from the view of applied technologies and

² <u>https://www.transportation.gov/sites/dot.gov/files/docs/policy-initiatives/automated-vehicles/360956/ensuringamericanleadershipav4.pdf</u>.

³ <u>https://www.sae.org/news/2019/01/sae-updates-j3016-automated-driving-graphic</u>.

expected vehicle functionality. CAV contains the scope and contents of the CV and AV domains to enhance the in-vehicle perception sensors (ADAS) of AV with short range ad hoc V2X communication.

Figure 1 illustrates relationship between CAV, CV and AV.



Applied Technology

Relationship for crash avoidance between short range ad hoc communication (V2V, V2I, V2P) and Advanced Driver Assistance Systems (ADAS) based on in-vehicle perception sensors:

I) Vehicle versus vehicle crashes: when looking at vehicle versus vehicle crashes, different driving scenarios can be distinguished where ADAS can avoid certain percentages of such accidents. A BASt study⁴ gives potential percentages of the total crash avoidance depending on different driving maneuvers. Overall, up to 50% of vehicle versus vehicle road traffic crashes can be addressed by ADAS, see Table 1.

	All crashes	Severe crashes
Turning-in/ crossing vehicle	16.3%	21.2%
Turning with oncoming vehicle	2.2%	4.1%
Turning with rear-end crash	3.8%	2.4%

 TABLE 1

 Driving maneuvers and corresponding crash avoidance potential by ADAS. Source BASt

⁴ BASt, German Federal Highway Authority. "Requirements to ADAS from the road safety perspective", 2007. <u>https://www.bast.de/BASt_2017/DE/Publikationen/Archiv/Infos/2007-2006/11-2007.html</u>.

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	All crashes	Severe crashes
Longitudinal traffic with real-end crash	21.9%	15.1%
Longitudinal traffic with lane-change crash	6.1%	3.1%
Total	50%	46%

V2V, V2I and Vehicle-to-Pedestrian (V2P) or V2VRU communication systems complement the Line-of-Sight (LoS) ADAS with additional information such as Non-Line-of-Sight (NLoS) object detection, intention recognition, vehicle speed, acceleration information, as well as other status information. V2V message types like BSM, CAM, CPM, MCM⁵ are used to communicate directly between V2X vehicles. Using direct V2X communication, there will be fewer restrictions due to LoS obscurations. Also, kinematics data and driver behaviour information such as pedal actuation is exchanged.

Short range ad hoc communication is able to close the gap and to address vehicle versus vehicle crashes which cannot be prevented by in-vehicle perception ADAS alone.

II) Vehicle versus Vulnerable Road User (VRU) crashes: The effectiveness of preventing vehicle vs VRU crashes using in-vehicle perception ADAS has been analyzed in PROSPECT D2.3 $[x^6]$. An overall potential of 55% in fatality and injury reduction was determined for state of the art ADAS.

Short range ad hoc communication is able to close the gap and to address vehicle versus VRU crashes which cannot be prevented by ADAS.

At a fundamental level, the 'basic safety' use cases; described, for example, in Report <u>ITU-R M.2445-0</u> (11/2018) - Intelligent transport systems (ITS) usage, provide the foundational safety-of-operation required for CAVs. These use cases also provide the rationale for the inclusion of wireless communication capabilities as a basis for the definition of CAVs. Therefore, the advanced ITS radio interface standards in Recommendation <u>ITU-R M.2084-1</u> (11/2019) - Radio interface standards of vehicle-to-vehicle and vehicle-to-infrastructure two-way communications for Intelligent Transport System applications, provide the minimum connectivity requirement in the definition of CAVs. Furthermore, CAV developments are expected to generate additional communication capabilities necessary for Level 5 – full automation – by 2023.

4.1 Communication technologies

4.2 Types of communication

[Editor's note (J): Texts need to elaborate for the following 3 types.]

Three types of communication technologies are used for CAV communications.

4.2.1 Unicast

This technology is used for 1 to 1 communication.

⁵ BSM Basic Safety Message; CAM Cooperative Awareness Message; CPM Collective Perception Message; MCM Maneuver Coordination Message.

⁶ European H2020 research project PROSPECT, PROactive Safety for PEdestrians and CyclisTs, analyse and tested in-vehicle perception ADAS to protect VRUs, finalized 2018. Deliverable D2.3, <u>https://ec.europa.eu/inea/en/horizon-2020/projects/H2020-Transport/Safety/PROSPECT</u>.

4.2.2 Multicast

This technology is used for 1 to Multiple communication, e.g. group communication. The source of the transmission is always the same, so the 1 in 1 to n is always the same transmitter.

4.2.3 Broadcast

This technology is used for CAV communication among unspecified number of vehicles, e.g. traffic light information and/or road sign information to vehicles around there. The source of the transmission can be different, so it is n to m.

5 Radiocommunication elements for CAVs

There are two main radiocommunication elements for operation of CAVs - ad hoc wireless direct communication and cellular connectivity (requiring base station coverage). Ad hoc communication is essential for CAVs and cellular connectivity is important. Both technology families have their advantages and drawbacks but it should be noted that they are supporting different types of applications in the CAV domain.

Access layer technologies:

The ad hoc access layer V2X communication technologies are either:

- IEEE based, or
- 3GPP based.

IEEE technology is based on the amendment to IEEE 802.11 called IEEE 802.11p (2010), now part of IEEE 802.11-2016⁷. This access technology is deployed in Europe under the name of ITS-G5, and dedicated short-range communication (DSRC) in the US as well as ITS Connect in Japan. A successor to IEEE 802.11p is currently drafted in IEEE under the working name IEEE 802.11bd, which is not violating backward compatibility, coexistence nor interoperability. Both IEEE 802.11p and IEEE 802.11bd can use the same frequency channel in the same geographical area at the same time in a spectrum efficient manner.

3GPP based access layer technology supporting ad hoc communication is LTE-V2X (release 14) and 5G-NR V2X (release 16). These two radio technologies cannot talk to each other, are not backward compatible nor coexistent in the same channel and consequently cannot share the same frequency channel.

The cellular connectivity is based on 4G and 5G, requiring coverage by base stations and subscriptions to traditional operators.

Higher layer technologies for V2X above access layer are available at:

- ETSI ITS set of standards,
- CEN set of standards,
- IEEE 1609 set of standards,
- SAE set of standards.

⁷ In the following 802.11p is used to refer to the relevant part for V2X communication in the IEEE 802.11-2016 "Outside the Context of a Basic Service Set (OCB)".

6 Overall objectives and radiocommunication requirements for CAVs

CAV development is an evolution and not a revolution. CAVs will exist side-by-side with other nonautomated road users for the foreseeable future. Different use cases and levels of automation have different requirements. SAE level 1 and level 2 automation systems are already on the market illustrated through, e.g., adaptive cruise control and lane keep assistance systems, these are solely based on line-of-sight sensors such camera and radar. Ad hoc V2X communication based on IEEE 802.11p as part of IEEE 802.11-2016 are deployed in Road Side Units and serial vehicles in all three regions Europe (ITS-G5), US (WAVE) and Japan (ITS Connect) for increasing road traffic safety by extending the awareness horizon for the driver (increasing the time to react on dangerous events). Next step is to marry ADAS with ad hoc V2X communication and include the ad hoc V2X communication as a new sensor to the overall sensor fusion framework towards V2X enhanced ADAS.

In the CAV domain, vehicles will support step-by-step more functionalities. Once the ad hoc V2X sensor is included in the sensor set, new V2X enhanced ADAS features will be enabled such as cooperative ACC that can avoid rear-end collisions as well as increase road traffic efficiency (closer spacing between vehicles and reduced fuel consumption). The only mature technology for ad hoc V2X communication is IEEE 802.11p (ITS-G5, WAVE, ITS-Connect). All proposed applications in the CAV domain (e.g., platooning, collective perception, maneuver coordination) are fully supported by IEEE 802.11p based V2X technologies. The applications, however, cannot be supported by one single radio on one frequency channel. The necessary exchange of data by direct, communication to support CAV applications is estimated to need at least 70 MHz of spectrum by automotive proponents^{8,9}.

6.1 Higher layer including application layer requirements

CAV requirements (higher layer requirements):

Ad hoc V2X communication will be an essential part for CAVs. Nevertheless, there are many other parts in the CAV domain that needs more attention such as functional safety, robust positioning, sensor fusion, machine learning, high definition maps etc. All parts need to be carefully orchestrated to make CAVs happen. In this respect, communication is just one piece in this giant puzzle.

It takes around 3-5 years for adding a new feature to a vehicle, this long product development cycle is due to the rigorous process of placing safe products on the market. Vehicles have an average lifetime of 12 years. Given the long product development cycles and expected life-time, legal certainty is of utmost importance for vehicle manufacturers. For example, a sudden removal of spectrum resources causes much headache and creates insecurities resulting in unwillingness to make necessary investments for realizing certain technologies. Further, new technology for inclusion in vehicles needs to be mature when the product development starts, and it needs to be available for the coming 15 years.

car.org/fileadmin/documents/General_Documents/C2CCC_TR_2050_Spectrum_Needs.pdf.

⁸ 5GAA TR S-200137: Working Group Standards and Spectrum, "Study of spectrum needs for safety related intelligent transportation systems - day 1 and advanced use cases", <u>https://5gaa.org/wp-content/uploads/2020/06/5GAA S-200137 Day1 and adv_Use_Cases_Spectrum-Needs-Study_V2.0-cover.pdf</u>.

⁹ Communication technology independent CAR-2-CAR Communication Consortium Spectrum Study for V2V and V2I safety message types defined in ETSI and SAE: "Road Safety and Road Efficiency Spectrum Needs in the 5.9 GHz for C-ITS and Cooperative Automated Driving" <u>https://www.car-2-</u>

Cellular specific requirement for CAV:

- full road coverage with cellular communication.
- cross-border interoperability.
- cross-provider interoperability.

6.2 Radiocommunication requirements: sensors, interoperability, radio interfaces, data rate, latency, reliability

[Editors note: For missing information, further input expected – topics on Interoperability / backward compatibility / coexistence are suggested.]

Access layer requirements

1) Communication topology

By nature, V2X communication is a many-to-many omnidirectional type of communication.

2) Dynamic channel:

The highly mobile environment of road traffic leads to much higher requirements on the V2X receiver. Consequently, CAV services using the ad hoc V2X sensor requires continuous adaption to the current channel status, which is affected by, e.g., severe multipath, doppler effect of the channel resources. V2X receivers need to comply with dynamic channel conditions as described in, e.g., ETSI EN 302 663 Annex A. Despite the reason for poorer wireless communication, CAV services need to have graceful performance degradation.

3) Dynamic number of participants

Dynamic load change in the channel due to few to very many traffic participants at the same time in the communication range of ITS stations. High density scenarios show that 100-800 vehicles can be in the functional relevant distance. Vulnerable Road Users (VRU) like bicyclist and pedestrians can be V2X traffic participants and have to be calculated on top of that.

4) Dynamic use of channels:

Further, not all CAV services can be hosted on one channel but needs to be divided between channels using several radios and multi-channel operation.

5) Dynamic V2X message:

For most efficient use of spectrum V2X messages are generated only if required and necessary content is adapted by application layer to the minimum. V2X messages change dynamically in sending rate and message size over time with an aperiodic behaviour. An analysis of this behaviour for the broadly used ETSI Cooperative Awareness Message CAM is given in IEEE "Empirical Models for the Realistic Generation of Cooperative Awareness Messages in Vehicular Networks"¹⁰. Other CAV related messages such as CPM, MCM, PSM/VAM¹¹, deploy the same dynamic generation rules. In

¹⁰ R. Molina-Masegosa, M. Sepulcre, J. Gozalvez, F. Berens and V. Martinez, "Empirical Models for the Realistic Generation of Cooperative Awareness Messages in Vehicular Networks," in IEEE Transactions on Vehicular Technology, Vol. 69, No. 5, pp. 5713-5717, May 2020, doi: 10.1109/TVT.2020.2979232.

¹¹ PSM: Personal Safety Message, VAM: Vulnerable Road User VRU Awareness Message.

addition to spectrum efficiency, this dynamic generation supports the principle of data minimization for privacy reasons.

6) Communication ranges:

V2X applications intended to reduce traffic accidents in short range need 90% packet success rate at 150m in urban, suburban environment and at 500 m in highway environment or fast rural environment in combination with omnidirectional communication requirement.

7) Selection of V2X modulation characteristics:

The requirements for most, especially safety applications, CAV applications (V2X services using the following messages BSM/CAM, CPM, MCM, PSM/VAM) are:

- dynamic radio channel changes, e.g. mobile environment,
- dynamic message generation, e.g. dynamic changes in Tx rate and message size from message to message,
- omnidirectional communication,
- dynamic channel load at at least 500 m range with Packet Success Rate 90%.

These requirements lead to a preferred selection of low data rates and the choice of a robust modulation like QPSK $\frac{1}{2}$ ¹². There are some CAV applications which can use higher order modulations and/or multiple/directional antenna systems, e.g. truck platooning.

8) Service layer latency:

Below 10 ms as in table "Technical characteristics on Advanced ITS and CAV".

From the view of wireless connectivity, V2X communication technology for many new CAV applications need to support lower latency and higher reliability. The 3rd Generation Partnership Project (3GPP) specifications, ETSI, SAE, and IEEE standards provide the categories of enhanced V2X applications and technical requirements in terms of packet size, data latency, reliability, and data rate for currently-defined CAV applications. Based on these specifications and standards, the radio communication technology for CAV applications requires less than 10 msec in packet latency on the service / application level [x^{13}] and greater than 90% packet success rate. V2X applications intended to reduce traffic accidents in short range need 90% packet success rate at 150 m in urban, suburban environment and at 500 m in highway environment or fast suburban environment, in combination with omnidirectional communication requirement. Those safety applications like e.g.

- Measurements of time from the occurrence of the event in a scenario application zone to the beginning of the resulting actuation. Depending on implementation, this includes one or more of the following:
- Processing of the event into information by the information generator;
- Communication of the information to end-user;
- Processing of the information by the end-user;
- Time to actuation driven by the result of processing of the information.

¹² D. Jiang, Q. Chen, L. Delgrossi, "Optimal data rate selection for vehicle safety communications", *Proc. ACM international workshop on VehiculAr Inter-NETworking (VANET)*, San Francisco, California, USA, pp. 30-38, 15 Sept. 2008.

¹³ 5GAA white paper "C-V2X Use Cases Volume II: Examples and Service Level Requirements" Service Level Latency definition:

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collective perception lead to lower data rates and the choice of a robust modulation. Other applications with much shorter communication range requirements or directional communication may choose an increased data rate. [1-4].

TABLE X

Technical characteristics on Advanced ITS and CAV

[Editor's note: Korea to propose text revision to clarify typical cellular coverage range for CAV]

Items	Advanced ITS	CAV
Applications	Cooperative Awareness Collective Perception	Cooperative Driving with Maneuver Coordination Service Platooning Automated Valet Parking
ITS Connectivity Scope	V2V, V2I, V2N, V2P	V2V, V2I, V2N, V2P
Radio Performance		·
Typical Coverage Range	Short range ad hoc and direct communication up to 1 000 m	Short range ad hoc and direct communication up to 1000 m [Short range communication also may include hybrid use of cellular communication]
Packet size including necessary overheads and security certificate	380 bytes – 1 900 byte	400 byte - 6 000 bytes
End to End Service Level Latency	Less than 100 msec	less than 10 msec
Packet Success Rate	Greater than 90% in highway scenario within 500 m communication range Greater than 90% in suburban scenario within 150 m communication range Greater than 90% in urban scenario within 150 m communication range	Greater than 90%

The initial, and continuing, focus in most AV development has been upon onboard sensors to provide the necessary sensory inputs to the AV computational systems to enable automated operation. Thus, there have been major investments in video systems, radar systems, and LIDAR systems to provide these onboard sensors. These sensors replicate the human driver's function of sight; and, arguably, can provide better reliability, detailed discrimination, and wide-angle coverage than human eyesight. This should allow better safety performance for vehicles with these systems that replace the human drivers' eyesight.

There are functional limits to the onboard sensors, however, since these are inherently line-of-sight sensors. This limitation is shared by human vision. Wireless communication, however, offers the possibility to provide AVs with 'extra-sensory' perception especially in Non-Line-of-Sight conditions. Besides detecting potential hazards hidden behind line-of-sight obstructions, wireless communication can allow AVs to share driving intentions, collectively negotiate and execute maneuvers and share onboard sensor data. These additional capabilities will greatly enhance the safety and efficiency of AV operations.

[IAFI Note: Section 6.3 and 6.4 may be merged to consolidate the use-cases and avoid duplication.]

6.3 Use Cases

[Editor's note (J): the following sections

- *first describes CAV use cases and analyse them to derive CAV elements,*
- *Identifies their required types of radio links, e.g. V2V, V2N, V2N2V,*
- Also identifies their requirements, e.g. throughput, latency, reliability, coverage area
- preferable frequency ranges, if any,]

Looking at worldwide R&D towards service deployment of CAVs, current prototype use cases include Cooperative Driving/Coordinated automated driving maneuvers, Platooning, Automated Valet Parking. The communication system architecture of CAV is basically the same as that of Advanced ITS.

Coordinated automated driving maneuvers are one of the main reasons that wireless communications are being viewed as critically important for AVs. One of the first use cases in this category is platooning but coordinated merging and coordinated lane changing are also being developed.

1) Platooning

Platooning involves multiple vehicles driving together in a convoy. The platoon is controlled as a unit by using inter-vehicle radiocommunication. In a truck platooning, leading truck is driven by a human driver while following trucks follow the leading truck by keeping a certain inter-vehicle distance using Automatic Cruise Control (ACC) and automated-steering / automatic-tracking of the previous truck, as shown in Figure 6-3-1.



Development to implement platooning is currently underway in several countries around the world. For example, in Japan, a field trials of truck platooning are underway[MY2019], as shown in Figure 6.3-1.

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FIGURE 6.3-1

Field Trial of Truck platooning (CACC and automated steering) on a highway a) Rear view b) Bird view





Several social issues can be resolved through the use of truck platooning. Platooning can enable trucks to drive closer together to reduce wind resistance, which can reduce fuel consumption and reduce CO_2 emissions. It has been shown that a platoon of three trucks travelling 4 m apart at 80 km/h consumes 15% less fuel[A2013]. If the distance between trucks is reduced to 2 m, the fuel consumption would be reduced by 25%. Reducing the distance between vehicles can also increase the traffic capacity of roads, i.e. the number of vehicles per km, mitigating congestion. This could further reduce fuel consumption and CO_2 emission.

In some countries, including Japan, an aging driver population and driver overwork, due to shortage of truck drivers, are also social issues, so truck platooning can reduce the burden on drivers and increase safety.

Adaptive Cruise Control (ACC) measures the distance between a lead vehicle and following vehicle using radar or other technology and maintains a safe separation between vehicles according to their cruising speed. ACC has been implemented and many vehicles are already equipped with it. However, when controlling based only on the measured distance between vehicles, there is a significant delay between when the lead truck begins to slow down and when the following distance changes. There is further delay until the following truck begins to slow down. For this reason, if only ACC is used, a longer following distance must be maintained to prevent collisions.

On the other hand, Cooperative ACC (CACC) controls vehicle speed based on other vehicles' speed and position information sent from other trucks to a truck by inter-vehicle radiocommunication, which can greatly improve control of the following distance when the truck needs to brake suddenly. This also enables stable operation with less fluctuation in following distance (hunting or vibration) due to less control delay. Fuel consumption can be further reduced and traffic capacity of roads, i.e. number of vehicles per km, can also be increased while maintaining safety by further reducing the following distance and increasing the number of platooned trucks, if reliable and low-latency radiocommunication would be applied to the radio communication between the vehicles.

The V2V radiocommunication between vehicles in a platoon needs group communication which is carried out within a specific platoon, i.e. a group of trucks which forms platooning. In this V2V communication, directional antenna is preferable to limit the area of the communication in order to reduce interference to other convoys/platoons and to use less spectrum. Video streaming of side/rear view and/or sensor information, beyond typical basic safety message exchange, is needed to transmit from the trailing truck, i.e. the tail truck of the convoy, to the lead truck for safety monitoring of the side/rear of the platoon. This group communication application is unique to the platooning.

There are two sub-use-cases in truck platooning, as shown in Figures 6.3-3 and 6.3-4.

Figure 6.3-3 shows group radiocommunications between vehicles in truck platooning. There are two Radiocommunication links in Figure 6.3-3; one is a link of Vehicle-to-Network-to-Vehicle (V2N2V), using typical cellular up- and down-link, i.e. a radio link via a cellular base station. Another is a direct radio link of Vehicle-to-Vehicle (Direct V2V) between two vehicles, which can be realized by using DSRC (Dedicated Short Range Communication) or Cellular-V2X Sidelink.

As described above, the direct, V2V radiocommunication is used, for example, for control messages to support coordinated braking, as well as basic safety use cases. Cellular network-based radiocommunication is used, for example, in geofencing, traffic congestion warning and road restriction violation warning use cases. Cooperative merging and lane change assistance (see sections 6.4.2.3 (1) and (3)) is very useful for smooth merging of the platoon and other single-vehicles, particularly at highway branches and exits, since the platoon is very long being compared with typical trucks and/or trailers.

In the sub-use-case, three types of radiocommunication are required, (i) message communication for vehicle control, (ii) video communication for safety monitoring of rear and side views, being sent from the trailing vehicles to the lead vehicle human driver, and (iii) message communication for information of auxiliary equipment, e.g. fuel indicator, handbrake status, warning lamps and/or position of transmission gear.

The above (i) requires small packet but low latency communication for the control of the vehicles in a platoon, particularly in case of multiple vehicles form a platoon to avoid hunting/vibration of the inter-vehicle distance and to keep the control of the vehicles more stable. The above (ii) may require the transmission of full HD (1920 \times 1080, i.e. 2.07 million pixels) video with around 60 fps, with the latency of 50 ms (glass-to-glass, including video coding and decoding), considering the requirements of 1 million pixels, 30 fps with the latency of 200 ms, which are defined for electric rear-view mirrors in Regulation 46 by United Nations European Commission, also taking some margins to them. The (iii) above requires very short messages and allows relatively higher latency but need to periodically exchange messages.



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FIGURE 6.3-3



The above three radiocommunication may be provided by group communication among member vehicles, which would be provided by multiple of unicast or multicast V2V radiocommunications.

Coverage area is less than a few hundred meters in diameter in most of the cases in the direct V2V radiocommunications. Higher frequency range, e.g. upper portion of microwave or mm-wave are preferable for the direct-V2V group communications in a platoon, since communication distances are relatively short. In case of V2N2V, i.e. via a base station, typical cellular communication, i.e. up- and down-link, can be used for the V2V communication, as well. In this sub-use-case, typical cellular coverage is required.

Figure 6.3-3 shows another sub-use-case of the platooning, in which radiocommunication is required between a remote operation and monitoring center, i.e. ground station, and a moving platoon of trucks.

In the sub-use-case, a human operator at remote operation and monitoring center, monitors a truck platoon by video monitoring over a radiocommunication link. If the operator recognizes something wrong with a platoon, he or she sends a control message, e.g. a message to safely stop a platoon on a highway. This sub-use-case requires the same requirements as discussed in (i) and (ii) above. In the sub-use-case, typical cellular coverage and its network are required.

2) Automated Valet Parking

T.B.D.

3) Urban Driving

T.B.D.

4) Advanced Driving

T.B.D.

5) Remote Driving

Remote Driving - enables a remote driver or a V2X application to operate a remote vehicle for those passengers who cannot drive themselves or a remote vehicle located in dangerous environments.

6) Maneuver Coordination / Cooperative Driving / Advanced Driving

Maneuver Coordination Service also called Coordinated Driving or Advanced Driving enables e.g. coordinated merging, coordinated lane change of semi-automated or fully automated vehicles.

"Cooperative Automated Driving (CAD)¹⁴ brings together driving automation technology with V2X communication in order to enable vehicles to coordinate their driving maneuvers and achieve a common global understanding of their surroundings, leading to safer and more efficient driving. The IMAGinE¹⁵ [x] research project develops a CAD system based on collective perception and cooperative maneuver coordination, one example of CAD is a connected lane merge function"¹⁶ [x]. By exchanging maneuver coordination messages (MCM), the intentions of the vehicles are shared and are transparent to nearby vehicles, which can negotiate the most efficient trajectories and thereby avoid incidents and accidents.

7) Object sharing / cooperative sensing driving / extended sensor sharing

Perception sensors are able to recognize and identify moving and fixed objects in Line-of-Sight view of sensors built in vehicles or infrastructure. Vehicles and infrastructure can communicate such identified objects to other V2X traffic participants with Collective Perception Messages (CPM). This is known as collective perception, object sharing, cooperative sensing driving as well as extended sensor sharing.

Collective Perception with object sharing means exchange of sensed object data between vehicles and vehicles with smart infrastructure as well as between vehicles and smart infrastructure itself. Cameras, radars, LiDAR sense all object types (such as vehicles, pedestrians, bicycles, scooters, motorcycles or obstacles) in the Line-of-Sight environment and transmit the object data to all ITS traffic participants, including roadside infrastructure. Pedestrians are not equipped with camera, radar, LiDAR and cannot send CPM.

Vulnerable road users such as pedestrians are especially protected by collective perception services.

[Japan's comments: It is suggested to add the aspect of communications between infrastructure and the vehicles.]

¹⁴ Note that CAD has the same meaning as CAV.

¹⁵ IMAGinE is a German research project implementing Collective Perception Service and Maneuver Coordination Service into passenger cars, <u>https://imagine-online.de/en/home/</u>:

[&]quot;The IMAGinE (Intelligent Maneuver Automation – cooperative hazard avoidance in realtime) project is developing innovative driving assistance systems for cooperative driving. Cooperative driving refers to road traffic behaviour in which road users cooperatively plan and execute driving maneuvers. Individual driving behaviour is coordinated with other road users and the overall traffic situation based on automatic information exchange between vehicles and infrastructure. Critical situations can be avoided or mitigated, thereby making driving safer and more efficient."

¹⁶] Ignacio Llatser, Thomas Michalke, Maxim Dolgov, Florian Wildschütte, Hendrik Fuchs, IEEE 2nd 5G World Forum "Cooperative Automated Driving Use Cases for 5G V2X Communication", 2019.

In cases where direct V2V communication is impossible due to non-connected participants (like VRU, non connected vehicles), cooperative sensing driving adds additional traffic safety by exchanging object data through indirect communication. CPM provides information about objects such as other traffic participants or other objects in the surrounding area as detected by the vehicle or infrastructure, using their own radars, cameras, or lidar. Collective perception capability enhances the communication between V2X-equipped participants and incorporates non-equipped V2X traffic participants. CPM can accelerate the effective V2X communication rate by using information from third-party vehicles or from smart infrastructure as an information source. Thus, CPM can help protect vehicles and VRUs which are not yet equipped with V2X.

• Interaction with non CAVs such as VRUs and non-CAV vehicles.

All of the advanced use case categories described above require ubiquitous, highly reliable, lowlatency wireless communications. Key performance indicators for these use cases were developed and used to further improve ITS communication technologies and applications. However, there are additional advanced use cases emerging for automated driving, for which communication requirements have not yet been developed. These requirements are expected to become better defined by 2023 as the developments in both technology and regulation become better understood for Level 5 automated driving.

In addition to the perspective of the vehicles providing and obtaining information using V2V and V2I, it is also important to address the perspective of the infrastructure collecting and aggregating data from vehicles (e.g., location and speed) and generate information for automated driving. The information generated and provided by infrastructure to vehicles includes those for traffic flow optimization and updating dynamic maps. With these, vehicles are able to keep up with the latest road conditions, such as traffic congestion and traffic restrictions due to accidents.

[Japan's comments: It is suggested to describe the aspect of infrastructure collecting and aggregating data obtained from vehicles.]

8) Raw perception data sharing

To guarantee the driving safety for Level 5 full automation of CAVs, automated driving vehicles of different manufacturers may have different data processing algorithms and vehicle control decisions based on different sensors, such as video, radar, and LIDAR. In terms of the susceptible perception data from various sensors, the raw perception data sharing among CAVs should be considered to guarantee the effective perception data fusion and utilization for Level 5 full automation. Therefore, the data rate and latency requirements for the raw perception data sharing among CAVs will be in the order of Gbps and msec. As defined by 3GPP Release 16 specifications in the "Collective perception of environment" scenario [5], for high-level automatic driving vehicles, the delay requirement for collision prevention is as low as 3 ms, and the transmission rate is required to be more than 1Gbps. Therefore, related studies on new technologies should be considered to ensure the high data rate raw perception data sharing among CAVs with the low latency requirement.

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FIGURE XX



Typical scenario of raw perception data sharing among CAVs.

As shown in Figure XX, there are multiple sensor in CAV, such as the millimeter wave radar, camera, LIDAR and other on-board sensors. Both low-frequency and high-frequency bands will be used to provide the broadband communication ability among CAVs and road side units. We assume that vehicle B, D and E are the targets that can be directly detected by the millimeter wave radar of vehicle A. However, due to the blockage of vehicles B and D in front, the sensing range of vehicle A is greatly limited, resulting in vehicles C and F in the blind area of vehicle A. Therefore, in order to expand the detection range of vehicle A, the broadband communication links can be used to transmit the raw perception information from radar or camera of vehicle B and D to vehicle A. Vehicle A carries out the multi-source perception information fusion to improve the "See-through" ability. In some cases, the raw data are needed for liability reasons in case of accidents, for distributed verification of local and remote sensor data [1].

The raw data rate of computer vision based video camera will reach 100-700 Mbps [2] (e.g. six cameras with a resolution of 1280 x 720, 24 bit per pixel, 30 frames per second), which will rely on vendors' specific classifiers [3][4]. In terms of the millimeter wave radar, when the scanning bandwidth is 200 MHz and the sampling rate is 200 Ms/s, the raw data rate of one radar will reach 1.6 Gbps [5].

- [1] S. W. Kim *et al.*, "Multivehicle Cooperative Driving Using Cooperative Perception: Design and Experimental Validation," in IEEE Transactions on Intelligent Transportation Systems, vol. 16, no. 2, pp. 663-680, Apr. 2015.
- [2] J. Choi, N. González-Prelcic, R. Daniels, C. R. Bhat, and R. W. Heath, Jr., "Millimeter Wave Vehicular Communication to Support Massive Automotive Sensing," IEEE Communications Magazine, vol. 54, no. 12, pp. 160-167, Dec. 2016.
- [3] N. Andersen, C2C-Consortium "Towards Accident Free Driving", ETSI Summit "5G from Myth to Reality", 2016.

- [4] 3GPP TR 22.886, "Study on enhancement of 3GPP Support for 5G V2X Services (Rel.16)", December 2018.
- [5] Continental Engineering Services, SRR 308-21 Short Range Radar 24 GHz Data sheet, http://conti-engineering.com/wp-content/uploads/2020/02/SRR308-21_EN_HS.pdf.

6.4 Functional elements of the use cases

6.4.1 Concept of Connected Automated Vehicles (CAV)

The automated vehicles achieve automated driving control by using information obtained from onboard sensors of one's own vehicle. Meanwhile, the connected automated vehicles achieve advanced automated driving by adding information obtained through radiocommunication to the connected vehicles. The Connected Automated Vehicles were defined based on the above concepts.

*1 Smoother automated driving control

This refers to enabling driving control with enough time margin by adding information obtained through radiocommunication to the automated vehicles (in which automated driving system makes the final judgment on driving control) based on the information obtained through on-board sensors of one's own vehicle. Specific examples include the following:

- a) Preliminary acceleration and deceleration/speed adjustment toward lane change and merging
- b) Mutual concessions and mediation with other traffic participants
- c) Selection of an optimal route
- d) Response to control instructions.

*2 Information outside the detection range of on-board sensors

Information outside the detection range of on-board sensors refers to the following:

- a) Information beyond the detection range of on-board sensors of the automated vehicles
- b) Definite information in the future (e.g., traffic signal phase and timing information)
- c) Statistical prediction information (e.g., traffic congestion prediction information)

*3 Providing information of one's own vehicle

Providing information of one's own vehicle refers to providing information about the status of one's own vehicle and the surrounding traffic environment obtained from GNSS, on-board sensors, etc. to the infrastructure.

*4 Mutual communication by using V2I and V2V

Mutual communication by using V2I and V2V refers to communication between an automated vehicle and vehicles around it and between an automated vehicle and infrastructure, respectively. Specifically, it refers to the following:

- a) Transmission of intention of an automated vehicle to vehicles around it (unspecified)
- b) Mutual communication between an automated vehicle and vehicles around it (specified or unspecified)
- c) Provision of information from external stakeholders related to a vehicle's driving (e.g., road administrators, traffic managers) to the vehicle or vice versa
- d) Driving behavior instructions from external stakeholders related to a vehicle's driving (e.g., road administrators, traffic managers) to the vehicle, or requests for mediation from the vehicle to external stakeholders.

6.4.2 **Process of studying the use cases**

6.4.2.1 Principle in selecting the functional elements of the use cases

This Report aims to propose future radiocommunication protocols and radiocommunication resources. The use cases collected as described in Section 6.3 include those functional elements that are less likely to be used in practice. Those less likely are described in Annex 1. If radiocommunication resources are secured to achieve all the functional elements, such resources are likely to be wasted. For this reason, this Section proposes the functional elements of these cases that may be likely to be used in practice.

6.4.2.2 Overview of the functional elements of the use cases

1) Functional elements of the use cases

The functional elements compiled based on classification by function are listed Table AA below.

TABLE AA¹⁷

Functional elements for CAV use cases

(1) Functional elements in which information outside the detection range of on-board sensors must be obtained

Classification by function	Name of the functional element	Overview
a. Merging/lane change assistance	a-1-1. Merging assistance by preliminary acceleration and deceleration	Information, such as the speed of vehicles driving on the main lane at the measurement location on the main lane and predicted time to arrive at a merging section, is provided by the infrastructure to merging vehicles to assist preliminary acceleration and deceleration.
	a-1-2. Merging assistance by targeting the gap on the main lane	Continuous measurement information (e.g., location and speed of vehicles driving on the main lane) is continuously provided by the infrastructure to merging vehicles to assist merging by targeting the gap between vehicles driving on the main lane.
b. Traffic signal information	b-1-1. Driving assistance by using traffic signal information (V2I)	Current traffic signal color and traffic signal phase and timing information (the next traffic signal color and the time until change), etc. at intersections are provided by the roadside infrastructure to vehicles that enter intersections to assist deceleration and stopping, and thereby avoid a dilemma.
	b-1-2. Driving assistance by using traffic signal information (V2N)	Traffic signal phase and timing information (the next traffic signal color and the time until change), etc. at intersections is provided through the network to vehicles that enter intersections to assist deceleration and stopping, and thereby avoid a dilemma.

¹⁷ https://en.sip-adus.go.jp/rd/rddata/usecase.pdf.

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Classification by function	Name of the functional element	Overview
c. Lookahead information: collision avoidance	c-1. Collision avoidance assistance when a vehicle ahead stops or decelerates suddenly	Sudden braking information as well as location and speed information are provided by the vehicle that suddenly decelerates to the following vehicles to prompt them to stop or decelerate in advance and prevent multiple- vehicle collision accidents.
	c-2-1. Driving assistance based on intersection information (V2V)	Location and speed information of vehicles that approach intersections is provided by the approaching vehicles to other vehicles that approach or pass through intersections to assist them to pass through or make a right turn at intersections with many blind spots.
	c-2-2. Driving assistance based on intersection information (V2I)	Location and speed information of vehicles that approach intersections, which is obtained from roadside sensors or vehicles, is provided by the infrastructure to other vehicles that approach or pass through intersections to assist them to pass through or make a right turn at intersections with many blind spots.
	c-3. Collision avoidance assistance by using hazard information	When an automated driving vehicle performs emergency deceleration or emergency lane change, emergency hazard information is transmitted to the following vehicles to assist smooth avoidance control.
d. Lookahead information: trajectory change	d-1. Driving assistance by notification of abnormal vehicles	Event information of abnormal vehicles that are stopped on roads (e.g., malfunctioning vehicles, vehicles involved in accidents) and location information (sections and lanes where such vehicles are located) are provided by the infrastructure to the surrounding vehicles or by abnormal vehicles to the surrounding vehicles to assist lane change and trajectory change at an early stage.
	d-2. Driving assistance by notification of wrong-way vehicles	Location and speed information of wrong-way vehicles and information about the presence of wrong-way vehicles are provided by the infrastructure to the surrounding vehicles to prompt lane change, etc. in advance and assist collision avoidance.
	d-3. Driving assistance based on traffic congestion information	Traffic congestion status information obtained from vehicles that are caught in traffic congestion is provided by the infrastructure to the surrounding vehicles to assist driving.
	d-4. Traffic congestion assistance at branches and exits	Information about traffic congestion on shoulders (location, speed) is provided by the infrastructure to vehicles on the main lane to assist entry to branches.
	d-5. Driving assistance based on hazard information	Information about obstacles, construction work, traffic congestion, etc. is provided by the infrastructure to the surrounding vehicles to assist driving.
e. Lookahead information: emergency vehicle notification	e-1. Driving assistance based on emergency vehicle information	Information about the driving direction, speed, and planned driving route (planned driving lane) of emergency vehicles is provided by the emergency vehicles to the surrounding vehicles to prompt the surrounding vehicles to drive at reduced speed or to stop, etc. and thereby assist the emergency vehicles to pass smoothly.

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Classification by function	Name of the functional element	Overview
f. Information collection/	f-1. Request for rescue (e-Call)	Rescue information is transmitted from abnormal vehicles (e.g., vehicles involved in accidents) to the infrastructure to request rescue.
distribution by infrastructure	f-2. Collection of information to optimize the traffic flow	Information about the location and speed of driving vehicles is collected via the infrastructure to analyze and optimize the traffic flow.
	f-3. Update and automatic generation of maps	Vehicles' information is collected by the infrastructure to update and automatically generate the map data.
	f-4. Distribution of dynamic map information	Dynamic map information is provided by the infrastructure to vehicles.

Functional elements in which information of one's own vehicle must be provided

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(2)

Functional elements in which V2V and V2I interaction must be ensured

Classification by function	Name of the functional element	Overview	
a. Merging/lane change assistance	a-1-3. Cooperative merging assistance with vehicles on the main lane by roadside control	Measurement information (e.g., location, speed) of vehicles driving on certain range of main lane is provided by the infrastructure to merging vehicles. Meanwhile, instructions (e.g., adjustment of the gap between vehicles) are given by the infrastructure to vehicles on the main lane to assist merging.	
	a-1-4. Merging assistance based on negotiations between vehicles	During merging to a main lane with heavy traffic, vehicles on the main lane communicate with merging vehicles (e.g., location and speed information, gap adjustment requests) to conduct negotiations between vehicles for merging assistance.	
	a-2. Lane change assistance when the traffic is heavy	During lane change to a lane with heavy traffic, the location and speed information and the intention of lane change, etc. are communicated between vehicles for lane change assistance.	
	a-3. Entry assistance from non-priority roads to priority roads during traffic congestion	At unsignalized intersections, location and speed information and the intention of entry are communicated between vehicles near intersections for driving assistance to enter priority roads from non-priority roads.	
g. Platooning/ adaptive cruise control	g-1. Unmanned platooning of following vehicles by electronic towbar	Operation information, etc. of platooning vehicles is communicated between trucks that form a platoon to assist platooning (electronic towbar).	
	g-2. Adaptive cruise control and manned platooning of following vehicles using adaptive cruise control	Location and speed information and driving operation information of vehicles at the front, etc. are communicated with the following vehicles assist adaptive cruise control.	
h. Teleoperation	h-1. Operation and management of mobility service cars	In a traffic environment that is difficult for an automated driving system, an operation manager in a remote location communicates a remote control instruction to the mobile service car based on video information from the mobile service car.	

2) The diagrams of functional elements

To present the functional elements in an easy-to-understand manner, images and additional information were compiled as diagrams.

[Editor's note: Need definition of terminology, in particular communication, connection mode (this definition is already included in main body and needs to be consistent), control usage, responsiveness]

How to read the diagrams

nction	tion by a. Merg	a. Merging/tane change assistance+2				element			
ame of ti nctional	he Lelement	e-1-1. Merging assistance by preliminary acceleration and deceleration-				The functional elements were classified based on functional			
arget are	ease Expres	sways + General roads≓	Target v	ehicles Priv	rately owned vehicles.3	communication for automated driving.			
verview	and pre	tion, such as the speed of vehicles dicted time to arrive at a merging so ary acceleration and deceleration o	driving on the ection, is prov n the merging	main lane at the ided by the infras t lane.43	measurement location on the main lane tructure to merging vehicles to assist	Overview of the functional element			
age of t	the functional eler	ient-1				The classification by function, name of the functional elemen			
		(In)		Information of	fvehicles driving on the main	(privately owned vehicles, logistics/mobility service cars), and			
ipot mea peed and hat are d	surement is conduct d vehicle length of v riving of the main la		Infrastructu	Information o lane is provide predicted time are provided.	fvehicles driving on the main ed. (Sensing information and to arrive at a marging section)	 (privately owned vehicles, logistics/mobility service cars), and overview of the functional element are indicated. For function elements that were not considered, the reason for that is indicated. Image of the functional element The legend for icons in the images of functional elements is as follows. 			
ipot mea peed and hat are d	Communication		Infrastructu	Information of lane is provid predicted true are provided	fvehicles driving on the main of (Sensing information and to antwe at a marging section) Predicted time to arrive at a marging section (Vehicles on the main Jane)-	 (prīvately owned vehicles, logistics/mobility service cars), and overview of the functional element are indicated. For function elements that were not considered, the reason for that is indicated. Image of the functional element The legend for icons in the images of functional elements is as follows. An automated driving vehicle that uses communication 			
etc.)-	Connection mode	d on the holds V2h ² V2h ² One-to-many ²	Infrastructu Unfrastructu	Message- Sensor data-		 (privately owned vehicles, logistics/mobility service cars), and overview of the functional element are indicated. For function elements that were not considered, the reason for that is indicated. Image of the functional element The legend for icons in the images of functional elements is as follows. An automated driving vehicle that uses communication A vehicle to which information is provided Vehicle 			
arks (communication	Control usage-	V21- V21- Cone-to-many- Pretiminary acceleration and deceleration-	Data category/+ content of information-2	Message- Rich contents	tredicise driving on the indirection and the indirection of the indir	(prīvately owned vehicles, logistics/mobility service cars), and overview of the functional element are indicated. For function elements that were not considered, the reason for that is indicated. Image of the functional element The legend for icons in the images of functional elements is as follows. Image of the functional element An automated driving vehicle that uses communication A vehicle to which information is provided A vehicle that is irrelevant to communication			

Remarks (overall information including communication requirements) are indicated as shown below for future analysis of technology requirements for functional elements.

- Communication: V2V, V2I, etc.
- Connection mode: one-to-one, one-tomany
- Control usage: vehicle control or provision of information, etc.
- Responsiveness: vehicle response after obtaining information
- Data category/content of information: typical information that is exchanged through communication in respective categories (message, sensor data, rich contents)
- Data amount : large (data size that cannot be transmitted by DSRC) : small (data size that can be transmitted by DSRC)

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6.4.2.3 The diagram of functional elements of the use case

[Japan's comments: Only CAV-related functional elements should be selected by WP 5A.]

(1) Functional elements in which information outside the detection range of on-board sensors must be obtained

a. Merging/lane change assistance

a-1-1. Merging assistance by preliminary acceleration and deceleration

Classific function	cation by 1	a. Merging/lane change assistance						
Name of the functional element a-1-1. Merging assistance by preliminary acceleration and deceleration								
Target a	ureas	Expressways + General roads Target vehicles Privately owned vehicles						
Overvie	w	Informatic lane and p assist prei	on, such as the speed of vehicles dr predicted time to arrive at a mergin liminary acceleration and decelerat	iving on the s g section, is tion on the m	nain lane at i provided by t erging lane.	he measurement location on the main he infrastructure to merging vehicles to		
Image o	f the functio	onal elemen	ıt					
Spot measurement is conducted on the speed and vehicle length of vehicles driving on the main lane.								
Communication V2I B Message Predicted time to arr merging section (veh. main lane)								
mmunicatio, , etc.)	Connectio	on mode	One-to-many	tegory/ of informa	Sensor da	ta Speed (spot measurement of vehicles on the main lane), vehicle length		
arks (con irements,	Control u	sage	Preliminary acceleration and deceleration	Data ca content	Rich contents	_		
Responsiveness Required Data amount Small								

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Classification a. Merging/lane change assistance by function Name of the functional a-1-2. Merging assistance by targeting the gap on the main lane element Expressways + General roads Target vehicles Privately owned vehicles Target areas Continuous measurement information (e.g., location and speed of vehicles driving on the main lane) is continuously provided by the infrastructure to merging vehicles to assist merging by targeting the gap **Overview** between vehicles driving on the main lane. Image of the functional element The movement of vehicles that are driving on the main lane is continuously transmitted. Infrastructure The speed and location of vehicles that are driving on the main lane are continuously monitored by sensing (section measurement). _ _ _ _ _ _ _ _ _ _ nn 1 Predicted time to arrive at a content of information Communication V2Imerging section (vehicles on the Message Remarks (communication main lane) Data category/ Speed, location (continuous requirements, etc.) **Connection** One-to-many Sensor data measurement of vehicles on the mode main lane), vehicle length **Rich contents** Control usage Speed adjustment Responsiveness Required Data amount Small

a-1-2. Merging assistance by targeting the gap on the main lane

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b. Traffic signal information

Classifi by func	ication ction	b. Traffic signal information							
Name of function element	of the nal b t	b-1-1. Driving assistance by using traffic signal information (V2I)							
Target	areas (General roads + Expressways Target vehicles Privately owned vehicles							
Overvie	ew i t	Current traffic signal color and traffic signal phase and timing information (the next traffic signal color and the time until change), etc. at intersections are provided by the roadside infrastructure to vehicles that enter intersections to assist deceleration and stopping, and thereby avoid a dilemma.							
Image of	of the funct	tional e	lement						
Traffic signal information Deceleration A vehicle which is likely to ignore the traffic signal when it drives straight (dilemma zone*) * Timing zone in which a vehicle cannot pass the stop line while the traffic signal is yellow and cannot stop without sudden deceleration									
nication	Communi	ication	V2I	y/	formation	Message		Current traffic signal color, traffic signal phase and timing information	
(commu ents, etc.)	Connectio mode	n	One-to-many	ı categor ent of ini		Sensor da	ata	-	
uarks uirem	Control us	sage	Speed adjustment, stop	Data	cont	Rich cont	tents	-	
Rem requ	Responsiveness Required Data amount Small						Small		

b-1-1. Driving assistance by using traffic signal information (V2I)

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Classific by funct	cation tion b	b. Traffic signal information							
Name of the functional elementb-1-2. Driving assistance by using traffic signal information (V2N)									
Target areas General roads + Expressways Target vehicles Privately owned vehicles						ely owned vehicles			
Overview Traffic signal phase and timing information (the next traffic signal color and the time until change), etc. at intersections is provided through the network to vehicles that enter intersection to assist deceleration and stopping, and thereby avoid a dilemma.							al color and the time until vehicles that enter intersections		
Image o	of the funct	ional el	ement						
	Traffic signal information Infrastructure Deceleration Stop A vehicle which is likely to ignore the traffic signal when it drives straight (dilemma zone*) * Timing at which a vehicle cannot pass the stop line while the traffic signal is yellow or stop without sudden deceleration								
ion	Communi	cation	V2N	ation	Messag	e	Traffic signal phase and timing information		
municat etc.)	Connection mode	'n	One-to-many	gory/ f inform	Sensor	data	-		
iarks (com irements, e	Control us	sage	Speed adjustment, stop	Data cate content of	Rich co	ntents	-		
Responsiveness Required Data amount						Small			

b-1-2. Driving assistance by using traffic signal information (V2N)

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c. Lookahead information: collision avoidance

Classif by fund	ication ction	c. Lookahead information: collision avoidance							
Name of the functional element c-1. Collision avoidance assistance when a vehicle ahead stops or decelerates suddenly									
Target	areas	Expres	sways + General roads	Target	vehicles	Privat	ely owned vehicles		
Overvi	Overview Sudden braking information as well as location and speed information are provided by the vehicle that suddenly decelerates to the following vehicles to prompt them to stop or decelerate in advance and prevent multiple-vehicle collision accidents.								
Image	of the fun	ctional e	element						
Status and a v	Status in which vehicles driving ahead of an automated driving vehicle create blind spots and a vehicle that suddenly decelerates cannot be detected by sensing Sudden stop, Sudden deceleration								
ę	Commu	unication V2V		ion	Message		Sudden braking information		
unicatio c.)	Connect mode	ion	One-to-many	ory/ informat	Sensor d	ata	Location, speed		
arks (comm irements, et	Control	usage	Speed adjustment, stop	Data catego content of i	Rich con	tents	-		
Respons		iveness	Required	Data amount			Small		

c-1. Collision avoidance assistance when a vehicle ahead stops or decelerates suddenly

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c-2-1. Driving assistance based on intersection information (V2V)

[Editor's note: Contributions to November WP5A meeting are requested to provide acceptable terminology to replace "privately owned CAV vehicles"]

Classif by fund	ication ction c.	ation ion c. Lookahead information: collision avoidance								
Name of function element	of the onal c- it	the c-2-1. Driving assistance based on intersection information (V2V)								
Target	areas G	General roads Target vehicles [Privately owned CAV vehicles]								
Overvi	iew aj	Location and speed information of vehicles that approach intersections is provided by the approaching vehicles to other vehicles that approach or pass through intersections to assist them to pass through or make a right turn at intersections with many blind spots.								
Image	of the functi	ional e	lement							
	Location and speed information Blind spot									
g	Communic	cation	V2V	tion	Message	-				
unicatio c.)	Connection mode	n	One-to-many	ry/ nformat	Sensor data	Loca	tion, speed			
arks (comm irements, et	Control us	sage	Judgment whether the vehicle can start, speed adjustment, stop	Data catego content of i	Rich content	ts –				
Responsiveness Required Data amount							1			

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Classificat by function	tion n c. Look	c. Lookahead information: collision avoidance								
Name of th functional element	he c-2-2. D	c-2-2. Driving assistance based on intersection information (V2I & V2N)								
Target are	eas Genera	General roads Target vehicles Privately owned vehicles								
Overview	verview Location and speed information of vehicles that approach intersections, which is obtained from roadside sensors or vehicles, is provided by the infrastructure to other vehicles that approach or pass through intersections to assist them to pass through or make a right turn at intersections with many blind spots.									
Image of t	he functional e	element								
	····· ··· · · · · · · · · · · · · · ·			Locati	on and informat	firastructure				
	ommunication	V2I	on	Message		-				
Dication Dication	onnection ode	One-to-many	y/ ormatic	Sensor da	nta	Location, speed				
arks (commu irements, etc.	ontrol usage	Judgment whether the vehicle can start, speed adjustment, stop	Data categon content of in	Rich cont	ents	_				
mba. Re	esponsiveness	Required	Data amount Small							

c-2-2. *Driving assistance based on intersection information (V2I &V2N)*

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c-3. Collision avoidance assistance by using hazard information

Classifi by func	ication ction	c. Lookahead information: collision avoidance							
Name of the functional elementc-3. Collision avoidance assistance by using hazard information							on		
Target	areas	Expres	sways + General roads	Та	rget	vehicles	Privately owned vehicles		
Overvi	Overview When an automated driving vehicle performs emergency deceleration or emergency lane change, emergency hazard information is transmitted to the following vehicles to assist smoo avoidance control.								
Image	of the fun	ctional e	element						
←	Cobstacle avoidance (lane change)								
	Commu	nication	V2V		u	Message	Obstacle information, emergency braking, steering		
nication)	Connect mode	ion	One-to-many	Data category/ content of informatio		Sensor dat	a Location		
arks (commu irements, etc.	Control	usage	Trajectory change, lane change, automated driving control assistance level change			Rich contents	-		
Respons		siveness Required			amo	ount	Small		

d. Lookahead information: trajectory change

Classific by funct	fication ction d. Lookahead information: trajectory change									
Name of function element	tional ent d-1. Driving assistance by notification of abnormal vehicles									
Target a	reas Exp	Expressways + General roads Target vehicles Privately owned vehicles								
Overvie	w Even vehi loca to th	Event information of abnormal vehicles that are stopped on roads (e.g., malfunctioning vehicles, vehicles in accidents) and location information (sections and lanes where such vehicles are located) are provided by the infrastructure to the surrounding vehicles or by abnormal vehicles to the surrounding vehicles to assist lane change and trajectory change at an early stage.								
Image of	f the function	ll element								
Lane change Abnormal vehicle (malfunctioning vehicle, vehicle in an accident)										
ECommunicationV2I, V2NImageEvent information of abnormal vehicles										
umunicat etc.)	Connection mode	One-to-many	egory/ finform	Sensor da	ta Location					
aarks (com iirements,	Control usa	ge Lane change, trajectory change	Data cate content o	Rich conto	ents –					
Responsiveness Not required Data amount Small										

d-1. Driving assistance by notification of abnormal vehicles

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Classi by fur	fication action	d. Lookahead information: trajectory change								
Name functi eleme	of the onal nt	d-2. Driving assistance by notification of wrong-way vehicles								
Targe	t areas	Expressways + General roads Target vehicles Privately owned vehicles								
Overv	riew	Location and speed information of wrong-way vehicles and information about the presence of wrong-way vehicles are provided by the infrastructure to the surrounding vehicles to prompt lane change, etc. in advance and assist collision avoidance.								
Image	of the fun	ctional	element							
	Infrastructure									
		e change						Wrong-way vehicle		
	Commun	ication	V2I, V2N		I	Message		Presence of wrong-way vehicles		
unication c.)	Connecti mode	on	One-to-many	ory/	ry/ nformation	Sensor da	ıta	Location, speed, and lane category of wrong-way vehicles		
larks (comm irements, et	Control ı	isage	Lane change, trajectory change, pulling over	Data catego	content of l	Rich cont	ents	-		
Rem requ	Responsiveness Not required Data amount				Small					

d-2. Driving assistance by notification of wrong-way vehicles

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Classification d. Lookahead information: trajectory change by function Name of the d-3. Driving assistance based on traffic congestion information functional element **Target areas Expressways + General roads Target vehicles Privately owned vehicles** Traffic congestion status information obtained from vehicles that are caught in traffic Overview congestion is provided by the infrastructure to the surrounding vehicles to assist driving. Image of the functional element Traffic Infrastructure יזה ו 4 4 Lane change Πĩ Communication **V2I, V2N** Message Status of traffic congestion content of information **Remarks** (communication Connection **One-to-many** Sensor data mode Data category/ requirements, etc.) Trajectory change, speed Rich **Control usage** adjustment, stop contents Responsiveness Not required Data amount Small

d-3. Driving assistance based on traffic congestion information
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Classifi by func	ication ction	d. Lookahead information: trajectory change								
Name of function element	of the nal t	d-4. Traffic congestion assistance at branches and exits								
Target	areas	Expressways + General roads Target vehicles Privately owned vehicles								
Overvie	ew	Information about traffic congestion on shoulders (location, speed) is provided by the infrastructure to vehicles on the main lane to assist entry to branches.								
Image of	of the func	tional e	lement							
Lane ch	Infrastructure									
ų	Commun	ication	V2I, V2N	tion	Message	Status of traffic congestion on shoulders (toward branches)				
numicatio tc.)	Connection mode	on	One-to-many	ory/ informa	Sensor data	Speed, location				
iarks (comn iirements, et	Control u	Isage	Speed adjustment, trajectory change	Data categ content of	Rich contents	-				
Rem requ	Responsi	veness	Not required	Data amo	ount	Small				

d-4. Traffic congestion assistance at branches and exits

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Classific by funct	cation tion	d. Lookahead information: trajectory change									
Name of function element	f the nal t	d-5. Driving assistance based on hazard information									
Target a	areas	Expressways + General roads Target vehicles Privately owned vehicles									
Overvie	verview Information about obstacles, construction work, traffic congestion, etc. is provided by the infrastructure to the surrounding vehicles to assist driving.										
Image o	of the func	tional ele	ement								
	Emergency hazard information Infrastructure										
	Commu	nication	V2I, V2N	u	Message		Obstacle information				
mication)	Connect mode	ion	One-to-many	y/ formatic	Sensor da	ta	Location				
arks (commu irements, etc.	Control	usage	Trajectory change, lane change, automated driving control assistance level change	Data categor content of in:	Rich cont	ents	-				
Rem requ	Respons	iveness	Not required	Data amo	unt		Small				

d-5. Driving assistance based on hazard information

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e. Lookahead information: emergency vehicle notification

Classi by fu	ification nction	e. Loo	kahead information: emergency	vehicle n	otification						
Name functi eleme	e of the ional ent	e-1. Driving assistance based on emergency vehicle information									
Targe	et areas	Expressways + General roads Target vehicles Privately owned vehicles									
Overv	Overview Information about the driving direction, speed, and planned driving route (planned driving lane) of emergency vehicles is provided by the emergency vehicles to the surrounding vehicles to prompt the surrounding vehicles to drive at reduced speed or to stop, etc. and thereby assist the emergency vehicles to pass smoothly.										
Image	e of the func	tional	element								
	Emergency vehicle (e.g., ambulance) Driving at reduced speed/stopped Lane change										
uo	Communic	cation	V2V, V2I, V2N	mation	Message		Information about approaching emergency vehicles				
nunicati tc.)	Connection mode	n	One-to-many	ata	Location, speed						
ks (comr ments. e	Control us	bl usage Speed adjustment, lane change, stop (shoulder) Speed adjustment, lane change, stop (shoulder) Speed adjustment, lane change stop (shoulder) Speed adjustment, lane stop (shoulde									
Remar] require	[Quick Responsive	eness]	*** change, stop (shoulder) Description Description Masses Not required Data amount Small								

e-1. Driving assistance based on emergency vehicle information

[Editor's note: Contributions to the November WP5A Meeting are requested to replace "Quick Responsiveness" in conjunction with the definitions requested at the beginning of this section]

(2) Functional elements in which information of one's own vehicle must be provided

f. Information collection/distribution by infrastructure

f-1. Request for rescue (e-Call)

Classific by funct	tion f. Infor	f. Information collection/distribution by infrastructure								
Name of function element	f the al f-1. Re-	uest for rescue (e-Call)								
Target a	areas Expres	sways + General roads	Target v	ehicles	Private	ly owned vehicles				
Overvie	w Rescue infrast	Rescue information is transmitted from abnormal vehicles (e.g., vehicles in accidents) to the infrastructure to request rescue.								
Image o	f the functional of	lement								
			0	<u> </u>						
				icle in an acc						
	Communication	v2N	C	icle in an acc Message	_,	Request for rescue				
mication	Communication Connection mode	V2N One-to-one	rry/ nformation	icle in an acc Message Sensor d	cident	Request for rescue Location				
arks (communication irements, etc.)	Communication Connection mode Control usage	Notification	Data category/ content of information	Message Sensor d Rich cor	cident cident lata ntents	Request for rescue Location				

Classific function	cation by f.	f. Information collection/distribution by infrastructure									
Name of function element	f the nal f-2	f-2. Collection of information to optimize the traffic flow									
Target a	areas E	Expressways + General roads Target vehicles Privately owned vehicles									
Overvie	ew In to	Information about the location and speed of driving vehicles is collected via the infrastructure to analyze and optimize the traffic flow.									
Image o	of the function	nal el	ement								
	The traffic flow is analyzed and optimized by using the probe information and traffic information of the driving vehicles obtained by roadside devices.										
ų	Communic	ation	V2I, V2N		tion	Message		-			
Connection mode One-to-one E Sensor data Location, speed								Location, speed			
Coutrol near catego - Data catego - Bicuto - Bic											
Rem requ	Responsive	ness	-	Data	a amo	ount		Small			

f-2. Collection of information to optimize the traffic flow

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f-3. Update and automatic generation of maps

Classif functio	ication by m	f. Information collection/distribution by infrastructure								
Name of function element	of the onal it	f-3. Update and automatic generation of maps								
Target	areas	Expressways + General roads Target vehicles Privately owned vehicles								
Overvi	ew	Vehicles' information is collected by the infrastructure to update and automatically generate the map data.								
Image	of the funct	tional e	lement							
The ma constru updateo generat informa vehicles	The map data (e.g., newly constructed roads) is updated and automatically generated by using information from the driving wehicles. Map update									
	Communi	cation	V2N	u	Message		-			
unication)	Connection mode One-to-one Sensor data Location									
Control usage – Control usage – Rich contents Image captured by board cameras						Image captured by on- board cameras				
Rem requ	Responsiv	eness	-	Data an	ount		Large			

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f-4. Distribution of dynamic map information

Classifi by func	ication f. 1	f. Information collection/distribution by infrastructure									
Name of function elemen	of the nal f-4 t	f-4. Distribution of dynamic map information									
Target	areas Ex	Expressways + General roads Target vehicles Privately owned vehicles									
Overvi	ew Dy	ynami	ic map information is provided	by the inf	rastructu	re to veh	icles.				
Image of the functional element											
	Dynamic map information is provided to the driving vehicles.										
a	Communic	ation	V2N	ion	Messag	e	-				
inicatio	Connection mode	1	One-to-many	ry/ nformat	Sensor	data	-				
arks (comm irements, etc	Connection mode One-to-many Sensor data (-) (-)										
Rem requi	Responsive	eness	-	Data amo	ount		Large				

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(1) Functional elements in which V2V and V2I interaction must be ensured

a. Merging/lane change assistance

a-1-3. Cooperative merging assistance with vehicles on the main lane by roadside control

Classifi by func	ication a. I	Merging/lane change assistan	e								
Name of function element	of the nal a-1 t	a-1-3. Cooperative merging assistance with vehicles on the main lane by roadside control									
Target	areas Exp	pressways + General roads	T	arget vehicles	Privately owned vehicles						
Overvi	Overview Measurement information (e.g., location, speed) of vehicles driving on certain range of main lane is provided by the infrastructure to merging vehicles. Meanwhile, instructions (e.g., adjustment of the gap between vehicles) are given by the infrastructure to vehicles on the main lane to assist merging.										
Image	of the function	nal element									
The driv on the n roadside Merging by the ir lane.	The driving status of vehicles that are driving on the main lane is continuously monitored by roadside sensor. Merging assistance instructions are also given by the infrastructure to vehicles on the main lane.										
ation	Communica	tion V2I	mation	Message	Time to arrive at a merging section (vehicles on the main lane), requests for gap adjustment						
mmunic s, etc.)	Connection mode	One-to-many	tegory/ of infor	Sensor data	Speed, location						
larks (col irements	Speed adjustment, gap adjustment Speed adjustment, gap adjustment Rich contents										
Rem requ	Responsiven	ness Required	Data am	ount	Small						

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Classification by function	a. Mei	a. Merging/lane change assistance								
Name of the functional element	e of the tional ent a-1-4. Merging assistance based on negotiations between vehicles									
Target areas	Expre	Expressways + General roads Target vehicles Privately owned vehicles								
Overview	During merging to a main lane with heavy traffic, vehicles on the main lane communicate with merging vehicles (e.g., location and speed information, gap adjustment requests) to conduct negotiations between vehicles for merging assistance.									
Image of the fun	ctional e	lement								
	Negotiations between vehicles									
Commu	nication	V2V		tion	Message	Requests for gap adjustment, permission for acceptance				
Connect	ion	One-to-many → One-to-one	ory/	informa	Sensor data	Speed, location				
One-to-many → One-to-oneNote to the sensorSpeed, locationmodeOne-to-many → One-to-oneNote to the sensordataSpeed, locationcontrol usageSpeed adjustment, gap adjustmentRich contents-										
B B B B B B B B B B B B B B B B B B B	iveness	Required	Data	amo	unt	Small				

a-1-4. [Merging assistance based on negotiations between vehicles]

Note: please also see Section 6.3 and https://imagine-online.de/en/home/

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Classif functio	ication by n	a. Mer	a. Merging/lane change assistance								
Name of function element	Name of the functional a-2. Lane change assistance when the traffic is heavy element										
Target	areas	Expre	ssways + General roads	Targ	et veh	icles	Privately ov	vned vehicles			
Overvi	ew	During intenti	g lane change to a lane with heav ion of lane change, etc. are comm	y trafi unica	ic, the	e locati tween	on and speed vehicles for la	information and the ane change assistance.			
Image	of the func	tional e	element								
			Lane change								
nication	Commun	ication	V2V	12	ormation	Mess	age	Requests for gap adjustment, permission for acceptance			
(commun ents, etc.)	Connecti mode	on	One-to-many → One-to-one	catagor	ent of inf	Sense	or data	Speed, location			
arks (ireme	Control ı	isage	Gap adjustment, lane change	Data	conte	Rich	contents	-			
Rem requ	Responsi	veness	Required	Da	a amo	ount		Small			

a-2. Lane change assistance when the traffic is heavy

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Classificati function	ion by a. Mer	a. Merging/lane change assistance									
Name of th functional element	Name of the functional element a-3. Entry assistance from non-priority roads to priority roads during traffic congestion										
Target area	as Gener	General roads Target vehicles Privately owned vehicles									
Overview	Overview At unsignalized intersections, location and speed information and the intention of entry are communicated between vehicles near intersections for driving assistance to enter priority roads from non-priority roads.										
Image of th	ne functional e	element									
	Non-priority road Request for entry Accept for entry										
Co Co	ommunication	V2V	nation	Message	Requests for entry, permission for acceptance						
, etc.)	onnection ode	One-to-many → One-to-one	tegory/ of inforr	Sensor data	Location, speed						
arks (cor irements 0	ontrol usage	Right and left turns, gap adjustment	Data cal content	Rich contents	-						
Rem Rem	sponsiveness	Required	Data amo	ount	Small						

a-3. Entry assistance from non-priority roads to priority roads during traffic congestion

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g. Platooning/adaptive cruise control

Classifi function	ication by n	g. Plat	ooning/adaptive cruise control								
Name of function element	of the nal g t	g-1. Unmanned platooning of following vehicles by electronic towbar									
Target	areas I	Expre	ssways	T	arget	vehicles	es Logistics service cars				
Overvi	ew G	Operation information, etc. of platooning vehicles is communicated between trucks that form a platoon to assist platooning (electronic towbar).									
Image	of the function	ional e	lement								
Constant distance											
luirements,	Communic	cation	V2V			Message		Acceleration, braking, steering operation, information about following vehicles			
nication req	Connection mode	n	One-to-many	V/	formation	Sensor d	ata	Location, speed, gap, acceleration/deceleration speed			
arks (commu	Control us	age	Keeping distance, platoon maintenance	Data categor	content of in	Rich contents		Transmission of image from the second truck to the first truck by using an electronic mirror			
Rem etc.)	Responsive	eness	Required	Dat	a am	ount		Large			

g-1. Unmanned platooning of following vehicles by electronic towbar

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g-2. Adaptive cruise control and manned platooning of following vehicles using adaptive cruise control

Classifi function	ication by n	g. Platooning/adaptive cruise control					
Name of function element	of the nal t	g-2. Adaptive cruise control and manned platooning of following vehicles using adaptive cruise control					
Target	Farget areasExpressways (Logistics service cars) Expressways + General roads (Privately owned vehicles)Target vehiclesL o			Logistics service cars, Privately owned vehicles			
Overvie	Overview Location and speed information and driving operation information of vehicles at the front, etc. are communicated with the following vehicles to assist adaptive cruise control.						
Image of	of the func	tional e	lement				
	Constant distance Constant distance Constant distance						
	Commun	vication	V2V	_	Message	Acceleration/braking operation	
unication)	Connecti mode	on	One-to-one or one-to-many	ory/ information	Sensor dat	ta Location, speed, acceleration/deceleration speed	
rks (comm rements, etc	Control u	ısage	Keeping distance	Data categ	Rich conte	ents –	
Rema requi	Responsi	iveness Required Data amount			Small		

h. Teleoperation

h-1. Operation and management of mobility service cars

Classif functio	fication by	h. Teleoperation					
Name functio elemen	of the onal	he h-1. Operation and management of mobility service cars					
Target	t areas	Expre	ssways + General roads	Target	vehicles	Mobilit	y service cars
Overvi	In a traffic environment that is difficult for an automated driving system, an operation manager in a remote location communicates a remote control instruction to the mobile service car based on video information from the mobile service car.						
Image	of the functi	ional e	element				
Remote monitoring/operation							
u	Communic	cation	V2N	tion	Message		Teleoperation instructions
umicati c.)	Connection mode	n	One-to-one	ory/ informa	Sensor da	ita	Location, speed
arks (comm irements, et	Control us	sage	Teleoperation	Data categ	Rich cont	ents	Image captured by on- board cameras
Rem requ	Responsive	eness	Required	Data amo	ount		Large

x-5. Provision of blind spot information ahead (see-through)

[Editor's note -X-5 has been moved to the main body from Annex 1, and necessary editorial adjustments completed.]

Name functi	e of the ional element	x-5. Provision of blind spot information ahead (see-through)						
Targe	et areas	Expressways + General roads	Target	vehicles	Privately owned vehicles			
Over	view	The road situation ahead captured by a camera is provided by a vehicle that recorded the image to the following vehicles to assist collision avoidance.						
Reaso consid functi	on for not dering this ional element	Collision avoidance is possible if th sensors.	e movemei	nt of vehicles	ahead is detected using on-board			
Imag	e of the function	onal element						
	The on-board camera information of a vehicle ahead (blind spot for a following vehicle) is shared with a following vehicle.							
	1			ſ	رحت حص حص مص			
					4 4			
_	Communicat	ion V2V	ion	Message	Status of traffic congestion			
unication	Connection mode	One-to-many	ory/ nformat	Sensor dat	a –			
arks (commi rements. etc	Control usag	e Speed adjustment, stop	Data catego content of i	Rich contents	Image captured by on-board cameras			
Remé	Responsiven	ess Required	Data amo	ount	Large			

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x-7. Collision avoidance assistance at intersections

[Editor's note -X-7 has been moved to the main body from Annex 1, and necessary editorial adjustments completed.]

Name of functiona element	the al 2	x-7. Collision avoidance assistance at intersections					
Target a	reas	Gener	al roads	Targe	t vehicles	Privately o	wned vehicles
Overviev	v []	Location and speed information is exchanged between vehicles that approach intersections to assist collision avoidance.					
Reason fo consideri functiona element	eason for not nsidering this nctional ement Driving is possible by complying with the laws and regulations related to road traffic.						
Image of	the function	nal ele	ment				
Collision avoidance (deceleration or stop)							
u	Communio	cation	V2V		tion	Message	-
umicatic c.)	Connection mode	n	One-to-many		ory/ informa	Sensor data	Location, speed
arks (comm irements, et	Control us	sage	Speed adjustment, stop, righ left turns	t and	Data catego content of i	Rich contents	-
Rem requ	Responsive	eness	Required		Data amo	unt	Small

6.4.2.4 Functional elements that are not considered

Functional elements that do not meet the principles for examining the connected automated vehicles in Section 6.4.2.1 and those that are integrated with other functional elements were not considered. However, this report decides to retain these functional elements as a reference when needed to review in the future. The diagram of these elements is shown in Annex 1 of this Report.

Name of the functional element	Overview
x-1. Driving assistance based on traffic signal information before a tunnel	Current traffic signal color information, etc. transmitted by a traffic signal before a tunnel is provided by the infrastructure to vehicles to assist deceleration and stopping.
x-2. Collision avoidance assistance when a vehicle ahead (suddenly) stops or decelerates	Sudden braking information and location and speed information are provided by a vehicle that suddenly decelerates to the following vehicles to assist collision avoidance by stopping or deceleration.
x-3. Collision avoidance assistance when a vehicle ahead changes lanes	Location and speed information is provided by a vehicle that will change lanes to enter the same lane to vehicles on either side to assist collision avoidance.
x-4. Lane change assistance	Location and speed information transmitted by the surrounding vehicles is communicated between vehicles to assist lane change.
x-6. Driving assistance based on lookahead information (notification of speed limit)	Speed limit information (including variable information) is provided by the infrastructure to the surrounding vehicles to assist driving.
x-8. Assistance of entry from non- priority roads to priority roads at unsignalized intersections	At unsignalized intersections, location and speed information is provided by vehicles on the priority roads to vehicles on the non- priority roads to assist driving to enter the priority roads.
x-9. Warning when a bus starts	Location and speed information and the intention of lane change are provided by vehicles that are going to make a left turn ahead of a bus that has stopped, to assist the bus to start safely.
x-10. Driving assistance at an alternating traffic section	In a section that switches from two-way traffic to alternating traffic or in a waiting section for alternating traffic, the location and speed information is communicated between vehicles of both directions to assist driving, such as passing through the alternating traffic section or waiting until oncoming vehicles pass.
x-11. Driving assistance based on pedestrian information	Location and speed information is provided by pedestrians and bicycles to vehicles to assist driving and stopping of vehicles, etc.
x-12. Driving assistance based on streetcar information	Location and speed information is provided by streetcars to the surrounding vehicles to assist driving of the surrounding vehicles.
x-13. Driving assistance based on traffic congestion information (V2V)	Traffic congestion status information is provided by vehicles caught in traffic congestion to the following vehicles to assist the trajectory change.
x-14. Traffic congestion assistance at branches and exits (V2V)	Location and speed information and information about traffic congestion on shoulders are provided by vehicles caught in traffic congestion to the following vehicles on the main lane to assist entry to branches.

1) Overview of functional elements that are not considered

6. 5 Summary of the radiocommunication requirements to meet the CAV functionalities

Each of the functional elements of the use cases can be broken down into a group of individual functional elements. Some of such elements are commonly used in multiple use cases. For examples,

assistance for merging or lane change would be activated in the situation during Urban Driving and Maneuver Coordination / Cooperative Driving / Advanced Driving.

This report develops a list of functional elements for the Connected Automated Vehicles as a basis for identifying radiocommunication requirements in Table XX.

[Editor's note (Japan): Some parameters or the elements of them which are used to calculate "**Table X Minimum Spectrum needs for different message types for direct, V2X communication"** in Section 8.2 need to be inserted in the Table XX below to derive spectrum needs in Chapter 8, e.g. CAM, DENM, SPATEM , VAM, PCM, CPM(Collective Perception Message) and MCM(Maneuuver Coordination Message)]

Functional element	Description	Type of communication	Transmission Interval	Message Size	Bit Rate	Transmission Latency
Merging/lane change assistance (a)	 Merging assistance by preliminary acceleration and deceleration Merging assistance by targeting the gap on the main lane 	V2I(Multicast)	[100 ms]	[100 kB]	[XXX kbps]	[100 ms]
	 Cooperative merging assistance with vehicles on the main lane by roadside control Merging assistance based on negotiations between vehicles Lane change assistance when the traffic is heavy Entry assistance from non- priority roads to priority roads during traffic congestion 	V2I(Multicast), V2V(Multicast), V2V(Unicast)	[T.B.D.]	[T.B.D.]	[T.B.D.]	[T.B.D.]
Traffic signal information (b)	- Driving assistance by using traffic signal information	V2I(Multicast), V2N(Multicast)	[T.B.D.]	[T.B.D.]	[T.B.D.]	[T.B.D.]
Lookahead information: collision avoidance (c)	 Collision avoidance assistance when a vehicle ahead stops or decelerates suddenly Driving assistance based on intersection information Collision avoidance assistance by using hazard information 	V2V(Multicast), V2I(Multicast)	[T.B.D.]	[T.B.D.]	[T.B.D.]	[T.B.D.]
Lookahead information: trajectory change (d)	 Driving assistance by notification of abnormal vehicles Driving assistance by notification of wrong-way vehicles Driving assistance based on traffic congestion information Traffic congestion assistance at branches and exits Driving assistance based on hazard information 	V2I(Multicast), V2N(Multicast)	[T.B.D.]	[T.B.D.]	[T.B.D.]	[T.B.D.]

TABLE XX

Functional elements of radiocommunication for CAV

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Functional element	Description	Type of communication	Transmission Interval	Message Size	Bit Rate	Transmission Latency
Lookahead information: emergency vehicle notification (e)	 Driving assistance based on emergency vehicle information Collection of information to optimize the traffic flow 	V2V(Multicast), V2I(Multicast), V2N(Multicast)	[T.B.D.]	[T.B.D.]	[T.B.D.]	[T.B.D.]
Information collection/ distribution by infrastructure (f)	 Request for rescue (e-Call) Update and automatic generation of maps Distribution of dynamic map information 	V2I(Multicast), V2N(Unicast) V2N(Multicast)	[T.B.D.]	[T.B.D.]	[T.B.D.]	[T.B.D.]
Platooning/ adaptive cruise control (g)	 Unmanned platooning of following vehicles by electronic towbar Adaptive cruise control and manned platooning of following vehicles using adaptive cruise control 	V2V(Multicast), V2V(Unicast)	[T.B.D.]	[T.B.D.]	[T.B.D.]	[T.B.D.]
Teleoperation (h)	- Operation and management of mobility service cars	V2N(Unicast)	[T.B.D.]	[T.B.D.]	[T.B.D.]	[T.B.D.]

[Editor's note: need to consider terminology multicast vs broadcast for V2V and V2I; related to comment on communication mode, reference to definition in the main body of the test – request contributions for November meeting]

7 Radiocommunication systems that support CAV

[IAFI Note: This section may be better organized with separate sub-sections 7.1 and 7.2 for the different families of technologies IEEE and 3GPP. They can describe both current specifications and the under-development specifications.]

Wireless communication technologies are on an accelerating innovation cycle. For example, the 3GPP completed Release 16 Stage 3 specifications and froze the related ASN.1 in June 2020, incorporating the New Radio access technology, which is meant to satisfy the IMT-2020 performance requirements. In addition, 3GPP is currently working on Release 17, and intends to complete Release [17 by February 2022] [Note this needs to be checked & changed to Q3-22]. In Release 17, 3GPP intends to extend the flexibility of the cellular technologies into an expanding number of vertical industries. For ad hoc technologies based on 3GPP technology such as LTE-V2X and 5G-NR V2X, it is still unclear if all CAV requirements as listed above are fulfilled since deployment has not started with the former and the latter has just been approved. Independent results from large field tests or pilots are missing.

IEEE 802.11p supports already today CAV requirements especially in terms of latency. Draft IEEE 802.11bd will enhance the robustness of the physical layer thereby increasing the reliability at longer distances (the information horizon will increase for the automated vehicle). IEEE 802.11p supports a latency below [1 ms¹⁸] [Note: This needs to be checked and confirmed].

The IEEE 802.11p based ITS-G5 and WAVE communication technologies support the required performance criteria for CAV applications. This is now proven with Collective Perception and

¹⁸ Y. Y. Nasrallah, I. Al-Anbagi, H. T. Mouftah, "A realistic analytical model of IEEE 802.11p for wireless access in vehicular networks," in Proceedings of IEEE 2014 International Conference on Connected Vehicles and Expo (ICCVE).

Maneuver Coordination Services which are successfully tested for CAV with IEEE 802.11p based ITS-G5 in IMAGinE¹⁹ [x].

This Report will provide further details of capabilities, technical demands and operational characteristics associated with C-V2X using the terrestrial component of IMT systems, as these capabilities become better known.

[Editor's note (J): The following texts are moved from Section 6.3.]

The 3GPP Release 16 specifications are designed to support four categories of advanced use cases, including fully automated driving vehicle scenarios. These categories are:

- Vehicles Platooning enables the vehicles to dynamically form a group travelling together.
- Extended Sensors enables the exchange of raw or processed data gathered through local sensors or live video data among vehicles, RSUs, devices of pedestrians and V2X application servers.
- Advanced Driving enables semi-automated or fully automated driving.
- Remote Driving enables a remote driver or a V2X application to operate a remote vehicle for those passengers who cannot drive themselves or a remote vehicle located in dangerous environments.

All of the advanced use case categories described above require ubiquitous, highly reliable, lowlatency wireless communications. Key performance indicators for these use cases were developed and used to guide the design of the 3GPP Release 16 capabilities. However, there are additional advanced use cases emerging for automated driving, for which communication requirements have not yet been developed. These requirements are expected to become better defined during the next two or three years as the developments in both technology and regulation become better understood for Level 5 automated driving.

The IEEE has initiated IEEE P802.11-Task Group BD - "Enhancements for Next Generation V2X"²⁰ which includes "Automated Driving Support" and Sensor Sharing" use cases, as well as the "Basic Safety" use cases currently supported by IEEE 802.11 and IEEE 1609.x WAVE standards. The IEEE 802.11bd standard is planned for completion by the middle of 2022.

Regarding V2N cellular connectivity, CAVs are more in need of better coverage of existing deployment of 4G networks then 5G and better cross-border/cross-operator functionality. OEMs design CAVs for surviving without network coverage.

¹⁹ Research project IMAGinE; <u>https://imagine-online.de/en/home/</u> - The IMAGinE (Intelligent Maneuver Automation – cooperative hazard avoidance in realtime) project is developing innovative driving assistance systems for cooperative driving. Cooperative driving refers to road traffic behaviour in which road users cooperatively plan and execute driving maneuvers. Individual driving behaviour is coordinated with other road users and the overall traffic situation based on automatic information exchange between vehicles and infrastructure. Critical situations can be avoided or mitigated, thereby making driving safer and more efficient.

²⁰ <u>http://www.ieee802.org/11/Reports/tgbd_update.htm.</u>

8 Spectrum needs for CAV radiocommunication

8.1 Suitable frequency bands

Recommended spectrum for global and regional harmonization of ITS wireless communication was included in Recommendation <u>ITU-R M.2121-0</u> (01/2019) - Harmonization of frequency bands for Intelligent Transport Systems in the mobile service. However, this Recommendation does not directly address emerging automated driving use cases. The spectrum needs for automated driving are expected to be further clarified as CAV developments and resulting communication requirements become better known.

Spectrum other than that previously recommended for ITS may be desirable for CAV communications. For example, it may be possible that platooning and/or other very close range cooperative maneuvering communications could best be effectively supported in EHF (30-300 GHz) bands. Laboratory experimentation and field test results becoming available during this ITU-R study period are likely to identify suitable frequency bands, if any, for these types of communication, which could be specifically used for CAV use cases.

8.2 Spectrum bandwidth needed

Currently, the Basic Safety use cases for CVs are supported by the spectrum as described in Recommendation ITU-R M. 2121-0. CAVs need to be interoperable with CVs for the Basic Safety use cases; however, different spectrum may be needed to support CAV-specific use cases. One of the initial major considerations to answer Question <u>ITU-R 261/5</u> is to determine the spectrum needs for CAV Radiocommunication, including suitable bands and spectrum bandwidth needed.

CAVs require spectrum dedicated to safety-related communication. Spectrum may need to be physically uncorrelated to provide fully redundant communication conditions. Tables 1 (1A, 1B &1C) summarizes spectrum needs for CAV direct communication. Table 1 does not address the spectrum needs for cellular network connectivity such as 4G/5G, which is subject to another spectrum regime.

In the process of spectrum bandwidth needed calculation, the variety of vehicle density and traffic conditions in different regions and countries should be considered, which will lead to different spectrum needs. Table 1 only represents the potential spectrum needs for CAVs in specific regions and countries. [Therefore, China opposes the proposal of 70 MHz spectrum band demand for CAV in the 5.9 GHz band.]

[Editor's note: contributions to the November 2021 WP5A meeting are requested to clarify regional plans and spectrum requirements for CAV. The following table should reflect regional and countries views as necessary, comments suggested the possibility to split this table into regional or country plans, etc.]

[IAFI Note: it is proposed to split Table 1 into 1A, 1B and 1C each for the three proposed bands

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TABLE 1A

Current and future spectrum needs for CAVs in 5.9 GHz band

Frequency band	Status/description	Current availability	CAV current & foreseen Spectrum needs			
5.9 GHz	Main spectrum today for deployment of road traffic safety and efficiency applications	5.9 GHz band (5850/5855-5925 MHz)is recommended for evolving ITS needs (see Recommendation ITU-R M.2121); and 70-75 MHz of bandwidth is allocated in several parts of the world (see Report ITU-R M.2444)	[Region 1 - As a minimum 70 MHz of spectrum is required for CAVs, see table x in present document, around 140 MHz is required as a typical need]			
Regional and Country notes on use of 5.9 GHz band for CAV:						

TABLE 1B

Current and future spectrum needs for CAVs in mm Wave bands

Frequency band	Status/description	Current availability	CAV current & future Spectrum needs				
mmWave	Short-range, high-capacity and low-latency communication potentially combined with radio location capabilities	Europe has an allocation of mmWave for ITS at 60 GHz	[At least 2 GHz bandwidth is needed for enabling high transfer rates]				
Regional and Country notes on use of mmWave bands for CAV:							

TABLE 1C

Current and future spectrum needs for CAVs in bands below 1 GHz

Frequency band	Status/description	Current availability	CAV current & foreseen Spectrum needs			
< 1 GHz	For long range strategic control information between CAVs, redundant communication channel to enable certain functional safety levels	Japan has an allocation at 760 MHz band for road traffic safety	[At least 10 MHz]			
Regional and Country notes on use of <1 GHz band for CAV:						

70 MHz spectrum band for transportation safety

-

A spectrum study²¹ (2020) shows that deployed as well as planned applications for increasing road traffic safety towards cooperative automated driving may consume more than 70 MHz. This study only takes the applications' needs of bandwidth in MHz into account and it is communication technology agnostic. Table 2 summarizes the results of this study by tabulating different message types and their spectrum needs in MHz given three different scenarios (urban intersection, suburban intersection, highway fast traffic). The results show that the 7x10 MHz channels are required for existing and planned safety applications, thus preserved spectrum is a necessity.

Table 2 also explains the different message types found in Table 3, which are already well defined and specified in standardization bodies, such as ETSI.

[Editor's note (Japan): Some parameters or the elements of them which are used to calculate **Table 2** "Minimum Spectrum needs for different message types for direct, V2X communication" below need to be inserted in the Table XX in Section 6.5 "Summary of the radiocommunication requirements to meet the CAV functionalities", to derive spectrum needs in Chapter 8, e.g. CAM, DENM, SPATEM , VAM, PCM, CPM(Collective Perception Message) and MCM(Maneuuver Coordination Message), which are listed below;]

TABLE 2

Minimum Spectrum needs for different message types for direct, V2X communication

Spectrum Need (MHz).

i

message type	urban	suburban	Highway
CAM cooperative awareness message	9	10	10
DENM decentralized environmental notification message	4	2	1
SPATEM signal phase and timing, MAPEM road/lane	1	1	1
topology and traffic maneuver , IVI in-vehicle-information			
and other I2V messages			
VAM VRU awareness message	4	0.2	2
PCM platooning control message	3	6	10
CPM collective perception message	23	26	24
MCM maneuver coordination message	23	26	24
Minimum basic spectrum needs in MHz	67	72	72
total number of 10 MHz channels required	7	7	7

Applications based on V2X communication are introduced in steps, where so-called day one scenarios increasing the information horizon for the driver are introduced first. Day one scenarios or basic safety applications are intended to inform the driver about impending dangerous situation and the driver needs to react accordingly. Day two scenarios intend to increase the information horizon for the vehicle and day-two applications involve for example truck platooning and cooperative adaptive cruise control (CACC).

Figure 1 shows the roadmap C2C-CC has developed to plan for reaching true cooperative automated driving with reduced number of accidents, increased road traffic efficiency with decreased

²¹ CAR-2-CAR Communication Consortium Spectrum Study: "<u>Road Safety and Road Efficiency</u> <u>Spectrum Needs in the 5.9 GHz for C-ITS and Cooperative Automated Driving</u>"

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environmental footprint. The roadmap shows V2X applications starting with awareness driving over sensing driving with CPM towards higher levels of cooperative automation including the message types MCM and PCM detailed in Table 2, three phases of V2X deployment:

- awareness driving (day-1) (BSM, I2V, PSM),
- sensing driving (CPM),
- cooperative automated driving (MCM, PCM).

TABLE 3

Explanation of different message types

Phases of V2X	Message types ²²			Enougher of our booting board	
application roadmap ^{Error!} Bookmark not defined.	Europe	USA	Abbreviations explained	on the message types	
Awareness driving	CAM, DENM	BSM	Cooperative Awareness message, Decentralized Environmental Notification Message, Basic Safety Message	Intersection Collision Warning Emergency Vehicle Warning Dangerous Situation Warning Stationary Vehicle Warning Traffic Jam warning Pre-/Postcrash Warning	
	SPaT, MAP, IVI	SPaT, MAP, IVI	Signal Phase and Time, MAP message, In-Vehicle- Information message	Enabling Infrastructure-to- Vehicle Communication at e.g. traffic lights	
	VAM	PSM	VRU Awareness Message, Personal Safety Message	VRU warning for (C-ITS) equipped Vulnerable Road Users	

²² CAM, Cooperative Awareness Message, specified in ETSI EN 302 637-2

DENM, Decentralized Environmental Notification Message, specified in ETSI EN 302 637-3

- SPATEM, Signal, Phase, and Timing, ISO/TS 19091:2017
- MAPEM, road/lane topology and traffic maneuver ISO/TS 19091:2017

VAM, Vulnerable Road User (VRU) Awareness Message ETSI TS 103 300-3, Pedestrian protection with Personal Safety Messages (PSM) according to SAE J2735, SAE J2945/9_201703 https://www.sae.org/standards/content/j2945/9_201703/

PCM, Platooning Control Message draft specification in ETSI TR 103 298, currently being drafted in the European H2020 project ENSEMBLE (multi-brand truck platooning) <u>https://platooningensemble.eu/</u>

https://platooningensemble.eu/news/using-its-g5-for-efficient-truck-platooning5c1a203e7a226

CPM Collective Perception Message, draft ETSI TS 103 324, ETSI TR 103 562

MCM Manoeuvre Coordination Message, according to ETSI TR 103 578 (draft) "Informative report for the Manoeuvre Coordination Service"; <u>https://imagine-online.de/en/home/</u>

Phases of V2X	Message types ²²			Enough of our lighting hand	
application roadmap ^{Error!} Bookmark not defined.	Europe	USA	Abbreviations explained	on the message types	
Sensing Driving / sensor sharing	СРМ	СРМ	Collective Perception Message	Overtaking Warning Extended Intersection Collision Warning Vulnerable Road User Warning for non-equipped VRU's Cooperative Adaptive Cruise Control Long-term Road Works Warning Special Vehicle Prioritisation	
Cooperative Driving with Coordinated maneuvering and cooperative automated driving	MCM, PCM	MCM, PCM	Maneuver Coordination Message, Platooning Control Message	(Static or dynamic) Platooning Area reservation Cooperative Merging Cooperative Lane Change Cooperative Overtaking	

FIGURE 1



C2C-CC roadmap for V2X application²³

²³ Source C2C-CC: https://www.car-2-

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The following study²⁴ (see Table 3) details the spectrum needs for CPM and MCM:

The parameters to calculate the spectrum needs were briefly described in the study. Each parameter can vary to some degree within a certain range. Only the lower end for parameters in the numerator of the spectrum calculation formula, and only the higher end for parameters in the denominator of the spectrum calculation formula, were chosen to calculate the spectrum needs (see last column), which means that the spectrum needs shown are the minimum requirements to enable these CPM and MCM live-saving applications. For CAV it is recommended to choose at least the typical instead of the minimum values of the following parameters, because all values between best and worst case can occur in realistic scenarios.

CPM (Collective Perception Message)		Min = current parameter setting	Max = future estimation	Typical parameter setting
Packet Size (Including security, payload, overhead) in Bytes	Message size changes depending on number of detected objects, including vehicles, pedestrians, cyclists, all seen by the in-vehicle- perception sensors such as cameras and radars	1000	1900	1450
Periodicity in Hz	Dynamic, up to 10 Hz			
Periodicity	In Urban	3	5	4
Periodicity	In Suburban	6	10	8
Periodicity	In Highway	10	10	10
Communication range in m				
Communication range	In Urban	150	300	225
Communication range	In Suburban	150	500	325
Communication range	In Highway	500	1000	750
ITS stations in communication range				
	In Urban	320	640	480
	In Suburban	180	360	270
	In Highway	100	200	150
Spectrum efficiency		0.55	0.6	0.575
Max allowed channel load		0.6	0.75	0.675
Spectrum efficiency x max allowed channel load		0.33	0.45	0.39
Spectrum needs in MHz:				
СРМ	Urban	23	108	57
СРМ	Suburban	26	122	65
СРМ	Highway	24	68	45

TABLE 3

²⁴ Continental, July 10th 2020, published on US FCC website, https://ecfsapi.fcc.gov/file/10710018216099/Ex-Parte%20-%20July%2010%202020.pdf

MCM (Maneuver Coordination Message)		Min = current parameter setting	Max = future estimation	Typical parameter setting
Packet Size (Including security, payload, overhead) in Bytes	Message size changes depending on number of detected objects, including vehicles, pedestrians, cyclists, all seen by the in-vehicle- perception sensors such as cameras and radars	1000	1300	1150
Periodicity in Hz	Dynamic, up to 10 Hz			
Periodicity	In Urban	3	5	4
Periodicity	In Suburban	6	10	8
Periodicity	In Highway	10	10	10
Communication range in m				
Communication range	In Urban	150	300	225
Communication range	In Suburban	150	500	325
Communication range	In Highway	500	1000	750
ITS stations in communication range				
	In Urban	320	640	480
	In Suburban	180	360	270
	In Highway	100	200	150
Spectrum efficiency		0.55	0.6	0.575
Max allowed channel load		0.6	0.75	0.675
Spectrum efficiency x max allowed channel load		0.33	0.45	0.39
Spectrum needs in MHz:				
MCM	Urban	23	74	46
МСМ	Suburban	26	83	51
МСМ	Highway	24	46	36

9 Spectrum harmonization

Interoperability is of critical importance for safety-related CAV functions. This is especially true for direct ad hoc wireless communications among CAVs and between CAVs and infrastructure, since these types of communications do not depend upon commercial wireless networks, which have been used in the past to provide limited intermediation among different generations of wireless technologies. Direct safety-related communications, such as Vehicle-to-Vehicle (V2V) and Vehicle-to-Infrastructure (V2I), could therefore likely greatly benefit from harmonization of spectrum. This would support interoperability for vehicles and infrastructure among different Administrations and potentially among different Regions.

Specific CAV functions currently developed, or currently in planning stages, and which are likely to benefit from spectrum harmonization, include, for example:

– Basic crash-avoidance vehicle safety,

- Interaction with non CAVs like such as VRUs and non-CAV vehicles,
 - Automated platooning and Cooperative Adaptive Cruise Control C-ACC,
- Object sharing with Collective Perception or Cooperative Perception,
- Cooperative Driving with Intent/Trajectory Sharing.

The rationale for inclusion of basic crash-avoidance safety functions in the CAV category, rather than just in the connected vehicle portion of ITS, is that it is important for CAVs to communicate with less-automated connected vehicles at a basic safety level, in addition to the communications required among CAVs to support the more advanced CAV functions. There will always be a mixed traffic scenario containing CAVs, non-CAVs, and VRUs. This has to be taken into account in the definition and specification of required functionalities and applications.

Due to the cross border and cross region nature of road traffic and future automated road traffic, all functions (safety related and road efficiency related) benefit significantly from a world-wide harmonization of designated spectrum resources.

10 Relevant provisions in the Radio Regulations

ITS applications are operated under mobile service allocations in Article **5** of the Radio Regulations. Specific frequency bands harmonized for ITS applications should be used for CAVs as noted in relevant ITU-R texts found in Section 2 of this report.

11 Status of global development on CAV

[Editor's note (J): CAV activities including R&D across the Regions are introduced here. Input contributions are invited to this section.]

11.1 Region 1 – Europe, Africa and Middle East

- 11.1.1 Europe
- [T.B.D.]
- 11.1.2
- [T.B.D.]
- 11.2 Region 2 Americas
- 11.2.1 Canada
- [T.B.D.]

Industry initiatives on automated vehicles

Area X.O

Established and operated by Invest Ottawa, Area X.O enables and accelerates the safe and secure development, testing, and application of next generation technologies in smart mobility, autonomy and connectivity for sectors that span telecom; smart agriculture; defense, security, and public safety; unmanned aerial vehicles; and smart cities. This 1 866-acre facility offers:

- 1 V2X (vehicle-to-everything) testing, validation and demonstration in a four-season climate with temperatures from -39 to +39 degrees Celsius (-102 to +102 degrees Fahrenheit).
- 2 Integrated test facilities with GPS systems, terrestrial wireless systems, and satellite communication systems; networking infrastructure; cybersecurity solutions; and industry-leading data gathering, analysis and cloud capabilities.

In CAVs and smart mobility, Area X.O enables innovation in:

- 1 Vehicle-to-Vehicle (V2V) communication applications and systems
- 2 Vehicle-to-Infrastructure (V2I) technologies and systems
- 3 Next-generation networks that underpin V2V and V2I innovation and applications
- 4 Software, hardware, and associated cybersecurity technologies required to integrate these capabilities into automated vehicles and municipal infrastructure
- 5 CAV operations in inclement weather, including systems that pinpoint the location of hidden objects, cybersecurity, interoperability and use of CAV-generated data

For additional information, please visit <u>www.AreaXO.com</u> and <u>www.investottawa.ca</u>.

11.2.2 United	l States of America
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[T.B.D.]

- 11.3 Region 3 Asia-Pacific
- 11.3.1 China, Peoples Republic of
- [T.B.D.]
- 11.3.2 Japan

SIP-adus Programme in Japan

The Government of Japan initiated SIP-adus (SIP²⁵'s Automated Driving for Universal Services) programme²⁶ aiming to solve issues of concern in today's society through realizing automated driving, including reducing traffic accidents, alleviating traffic congestion and securing a means of transportation for people with limited mobility, such as the elderly living in remote regions, among other issues. This programme started in Fiscal Year 2014 and entered its 2nd Phase in Fiscal Year 2018. In the 2nd Phase the scope has been extended to include automated driving on general public roads and application to logistics and transportation services, as shown in Figure SIP.

²⁵ SIP stands for The Cross-Ministerial Strategic Innovation Promotion Program (SIP) and is a group of R & D programmes for achieving science, technology and innovation as a result of the Council for Science, Technology and Innovation exercising its headquarters function to accomplish its role in leading science, technology and innovation beyond the framework of government ministries and traditional disciplines. SIP-adus is one of SIP programmes.

²⁶ For further information, see <u>https://en.sip-adus.go.jp/.</u>

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FIGURE SIP

Overview of the 2nd Phase SIP-adus



'SAE (Society of Automotive Engineers): Standardization body in the U.S.

The programme envisions a scenario for the commercialization and service of fully automated driving by 2025. For this, it has targeted to establish the cooperative areas technologies essential for implementation by 2023 and to create multiple example cases for commercialization through Field Operational Test (FOTs) by involving various businesses and local government.

In October 2019, FOTs started in the Tokyo waterfront city area (general roads and Metropolitan Expressway / Haneda area) with wide participations. The program has undergone testing to provide signal display and change timing information to vehicles, even in environments where recognition is difficult using in-vehicle cameras; to assist vehicles merge onto the main lane of highways; and to operate public transport system (self-driving buses) by using automated driving technology in mixed traffic flow.

Under SIP-adus programme, a study was conducted regarding cooperative driving automation and advanced safety driver assistance. Firstly, in the study, as many use cases as possible were collected from projects in Europe, the United States and Asia, including those studied by the Japan Automobile Manufacturers Association, Inc. (JAMA). The use cases collected varied in terms of the expected time frame of the launch. Instead of securing all the use cases, the study decided to focus on those cases with certain assumptions. Firstly, the study assumed that all traffic participants would comply with the law and regulations in principle. Secondly, the study excluded from the scope, functional elements that can be realized by autonomous automatic driving systems. Lastly, three features were taken into account as those that characterize cooperative automated vehicles: that vehicles 1) obtain information beyond the detection range of on-board sensors, 2) provide information of one's own vehicle, and 3) interact with other vehicles or infrastructure with V2V and V2I connectivity. Consequently, eight (8) functional elements of use cases are selected for consideration. In September 2020, the results of this study were documented as the first output²⁷. Based on the results, the study is now moving to the next phase on the subject of communication technology requirements and new communication protocols.

11.3.2 Korea, Republic of

[T.B.D.]

²⁷ <u>https://en.sip-adus.go.jp/rd/rddata/usecase.pdf.</u>

12 References

[Editor's note (J): References need to be renumbered when finalizing this document.]

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- [2] TTAK.KO-06.0505, "Vehicle Communication for Connected Automated Driving Stage 2: Architecture, December, 2019.
- [3] 3GPP TS 22.185, "Service Requirements for V2X services; Stage 1(Rel.15)", June, 2018.
- [4] 3GPP TS 22.186, "Enhancement of 3GPP support for V2X scenarios; Stage 1(Rel.16)", June, 2019.
- [5] 3GPP TR 22.886, "Study on enhancement of 3GPP Support for 5G V2X Services (Rel.16)", December 2018.

- TS 22.186: Service requirements for enhanced V2X scenarios: https://www.3gpp.org/DynaReport/22186.htm
- TS 23.285: Architecture enhancements for V2X services: https://www.3gpp.org/DynaReport/23285.htm
- TS 23.287: Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services: <u>https://www.3gpp.org/DynaReport/23287.htm</u>
- TS 23.286: Application layer support for Vehicle-to-Everything (V2X) services; Functional architecture and information flows: <u>https://www.3gpp.org/DynaReport/23286.htm</u>
- **TR 37.985**: Overall description of Radio Access Network (RAN) aspects for Vehicleto-everything (V2X) based on LTE and NR: <u>https://www.3gpp.org/DynaReport/37985.htm</u>
- TS 36.300: Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2: https://www.3gpp.org/DynaReport/36300.htm
- TS 38.300: NR; NR and NG-RAN Overall description; Stage-2: https://www.3gpp.org/DynaReport/38300.htm
- T-200111 TR C-V2X Use Cases and Service Level Requirements Vol I V3.0

"Study of spectrum needs for safety related intelligent transportation systems – day 1 and advanced use cases" relates to Question 6 and provides an analysis on spectrum requirements for the implementation of ITS services.

TS 22.185: Service requirements for V2X services: https://www.3gpp.org/DynaReport/22185.htm

- "<u>A Visionary Roadmap for Advanced Driving Use Cases, Connectivity Technologies,</u> and Radio Spectrum Needs" relates to Question 3 and introduces a timeline related to identified Use Cases.
- <u>"White Paper C-V2X Use Cases Volume II: Examples and Service Level Requirements</u>" and Technical Report "C-V2X Use Cases and Service Level Requirements Volume I" relate to Question 3 and detail Use Cases and related requirements.
- ETSI GS MEC 030 V2.1.1 (2020-04), "Multi-access Edge Computing (MEC); V2X Information Service API", Link: <u>https://www.etsi.org/deliver/etsi_gs/MEC/001_099/030/02.01.01_60/gs_MEC030v0201</u> 01p.pdf

[Editor's note: The material from ETSI ERM TGSRR in Document 5A/142 contains information on vehicular radar sensors which may be pertinent for CAVs.]

[Editor's note (J): References for Section 6.3 Use Cases.]

[MY2019] M. Mikami and H. Yoshino, "Field Trial on 5G Low Latency Radio Communication System Towards Application to Truck Platooning," pp. 1447-1457, IEICE Transactions on Communications Vol.E102-B, No.8, Aug. 2019.

[A2013] K. Aoki, "Current Activities of Development on the Automated Truck Platoon," pp. 303-309, IPSJ Journal, Vol. 54 No. 4, Apr. 2013 (in Japanese).

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ANNEX 1

The functional elements that are not considered

[Editor's note: Added text about why these are listed here and reference to SIP document contributions to the November meeting are requested.]

x-1. Driving assistance based on traffic signal information before a tunnel

Name functi eleme	e of the ional ent	x-1. Driving assistance based on traffic signal information before a tunnel							
Targe	et areas	Expressways Target vehicles Privately owned vehicles							
Over	view	Current traffic signal color information, etc. transmitted by a traffic signal before a tunnel is provided by the infrastructure to vehicles to assist deceleration and stopping.							
Reaso consid functi eleme	on for not dering this ional ent	s This functional element is similar to driving assistance by using traffic signal information on general roads. Thus, it was integrated into b-1-1.							
Imag	e of the function	onal element							
Whei an ex trans and s	When a traffic signal before a tunnel is red on the main lane of an expressway, the traffic signal display information is transmitted to the surrounding vehicles to assist deceleration and stopping. Traffic signal color Infrastructure								
u	Communica	tion V2I	ation	Message	Traffic signal color				
nunicatio ()	Connection mode	tion One-to-many			n –				
arks (comn rements, e	Control usag	ge Speed adjustment, stop	Data cate content of	Rich contents	-				
Remi	Responsiven	ess Required	Small						

Name of function element	of the mal it	x-2. Collision avoidance assistance when a vehicle ahead (suddenly) stops or decelerates							
Target	areas	Expr	Expressways + General roads Target vehicles Privately owned vehicles						
Overvi	ew	Sudden braking information and location and speed information are provided by a vehicle that suddenly decelerates to the following vehicles to assist collision avoidance by stopping or deceleration.							
Reason conside functio elemen	a for not ering this onal ht								
Image	Image of the functional element								
	Deceleration Sudden braking								
		(
	C		VAV		M				
tion	Communi	cation	V2V	matic	Message		Sudden braking information		
nunica tc.)	Connectio mode	One-to-many		gory/ infor	Sensor da	ta	Location, speed		
urks (comn rements, et	Control u	usage Speed adjustment, stop		Data cateş content of	Rich contents		-		
Responsiveness Required		Data amount Small			Small				

x-2. Collision avoidance assistance when a vehicle ahead (suddenly) stops or decelerates

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Name functi eleme	e of the ional ent	x-3. Collision avoidance assistance when a vehicle ahead changes lanes							
Targe	et areas	Expressways + General roads Target vehicles Privately owned vehicles							
Overv	view	Location and speed information is provided by a vehicle that will change lanes to enter the same lane to vehicles on either side to assist collision avoidance.							
Reaso consid functi eleme	on for not dering this ional ent	t nis Vehicle control is possible using on-board sensors.							
Image	e of the function	onal ele	ement						
	Dece	eleration	Lane chan	ge					
		<)				
			Start of lane change operation						
uo	Communica	tion V2	2V	ation	Message	-	_		
nunicati (tc.)	Connection One-to-many				Sensor dat	a I	Location, speed		
arks (com irements, e	Control usag	ge Sp	beed adjustment, stop	Data cate content o	Rich contents		-		
Remi reaui	Responsiven	iveness Required Data amount Small				Small			

x-3. Collision avoidance assistance when a vehicle ahead changes lanes

x-4. Lane change assistance

Name funct	e of the ional element	x-4. Lane change assistance							
Targe	et areas	Expressways + General roads Target vehicles Privately owned vehicles							
Over	view	Location and speed information transmitted by the surrounding vehicles is communicated between vehicles to assist lane change.							
Reaso consid funct	on for not dering this ional element	Vehicle control is possible using on-board sensors.							
Imag	e of the functio	nal element							
			D						
	1		J	1					
uo	Communicat	ion V2V	ation	Message	-				
nunicati (c.)	Connection mode One-to-many			Sensor dat	a Speed, location				
arks (comr rements, e	Control usag	e Lane change	Data cate content o	Rich contents	-				
Remá	Responsivene	nsiveness Required Data amount Small							
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Name functi eleme	Name of the functional elementx-6. Driving assistance based on lookahead information (notification of speed limit)											
Targe	Target areas Expressways + General roads Target vehicles Privately owned vehicles											
Over	Overview Speed limit information (including variable information) is provided by the infrastructure to the surrounding vehicles to assist driving.											
Reaso consid functi eleme	Reason for not considering this functional element											
Image	Image of the functional element											
	Speed limit information (including variable information)											
		Deceleration										
		60 km/h	30 km/h									
ion	Communica	ation V2I	lation	Message	Speed limit							
municati etc.)	Connection mode	One-to-many	egory/ f inform	Sensor dat	a –							
arks (com rements. (Control usa	ge Trajectory change	Data cato content o	Rich contents	_							
Remá	Responsiveness Not required Data amount Small											

x-6. Driving assistance based on lookahead information (notification of speed limit)

Name of functiona element	of the nal t x-8. Assistance of entry from non-priority roads to priority roads at unsignalized intersections										
Target a	reas	General roads Target vehicles Private				Privately o	wned vehicles				
Overviev	Overview At unsignalized intersections, location and speed information is provided by vehicles on the priority roads to vehicles on the non-priority roads to assist driving to enter the priority roads.										
Reason fo consideri functiona element	Reason for not considering this functional element Driving is possible by complying with the laws and regulations related to road traffic.										
Image of	the function	nal ele	ment								
	Non-priority road										
			Priority road			∎→ /					
	Communic	cation	V2V		uo	Message	-				
unication 2.)	Connection mode	n	One-to-many		ory/ nformati	Sensor data	Location, speed				
arks (comm rements, etc	Control us	sage	Speed adjustment, stop, righ left turns	t and	Data catego content of i	Rich contents	-				
Rem requ	Responsive	eness	Required		Data amo	ount	Small				

x-8. Assistance of entry from non-priority roads to priority roads at unsignalized intersections

x-9. Warning when a bus starts

Name of function element	f the al x-	x-9. Warning when a bus starts									
Target a	areas G	ener	al roads	Target	veh	icles	Private	ely owned vehicles			
Overvie	w La	Location and speed information and the intention of lane change are provided by vehicles that are going to make a left turn ahead of a bus that has stopped, to assist the bus to start safely.									
Reason f consider function element	ason for not isidering this inctional ment										
Image o	f the functio	nal el	ement								
_	Communic	ation	V2V		uo	Messa	ge	Intention of lane change			
nicatior)	Connection mode		One-to-many	يم/	formati	Sensor	data	Location, speed			
arks (commu irements, etc.	Control usa	ge	Judgment whether the bus can start	Data categor	Data categor content of in		ıts	-			
Responsiveness Required Data amount								Small			

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Name functi eleme	e of the ional ent	x-10. Driving assistance at an alternating traffic section									
Targe	et areas	rivatel	y owned vehicles								
Overv	Overview In a section that switches from two-way traffic to alternating traffic or in a waiting section for alternating traffic, the location and speed information is communicated between vehicles of both directions to assist driving, such as passing through the alternating traffic section or waiting until oncoming vehicles pass.										
Reason for not considering this functional elementDriving is possible by complying with the laws and regulations related to road traffic, and vehicle control is possible using on-board sensors.											
Image	Image of the functional element										
	Communica	tion	V2V	Ę	Message		_				
nication	Connection mode		One-to-many	'y/ formatic	Sensor da	nta	Location, speed				
rks (commun ements. etc.)	Control usag	ge	Judgment whether the vehicle can start	Data categor content of in	Rich contents		_				
Rema reani	Responsiven	iess	Required		Small						

x-10. Driving assistance at an alternating traffic section

Name of function element	of the nal x- t	x-11. Driving assistance based on pedestrian information									
Target	areas G	General roads Target vehicles Privately owned vehicles									
Overvi	ew L d	Location and speed information is provided by pedestrians and bicycles to vehicles to assist driving and stopping of vehicles, etc.									
Reason conside function elemen	for not ering this nal t	Vehicle control is possible using on-board sensors.									
Image	of the function	onal el	ement								
Deceleration or stop											
	Communica	ation	V2P, V2I			Message	-				
tion	Connection mode		One-to-many	itegory/	of	Sensor data	a Location, speed				
arks municat	Control usag	ige	Deceleration, stop, right and left turns	Data ca content	Rich contents	-					
Rem (com	Responsiven	ness	Required	Data	Data amount S		Small				

x-11. Driving assistance based on pedestrian information

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Name of function element	f the nal x	x-12. Driving assistance based on streetcar information									
Target a	areas	Genera	l roads	Target v	ehicl	es Privately	owned vehicles				
Overvie	ew I	Location and speed information is provided by streetcars to the surrounding vehicles to assist driving of the surrounding vehicles.									
Reason consider function element	for not ring this al	Vehicle control is possible using on-board sensors.									
Image o	Image of the functional element										
_	Communic	cation	V2V		on	Message	-				
nicatior)	Connection mode	n	One-to-many	/A.	, formati	Sensor data	Location, speed				
arks (commu irements, etc.	Control us	sage	Judgment whether the vehicl can start	a Data categor	content of in	Rich contents	_				
Responsiveness Required Data amount					Small						

x-12. Driving assistance based on streetcar information

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Name of function	Name of the unctional element X13. Driving assistance based on traffic congestion information (V2V)										
Target	areas	Exp	ressways + General roads	Target v	ehicles	Priva	tely owned vehicles				
Overvi	caught in traffic congestion to										
Reason for not considering this functional element value (It may be regarded as a functional element of collision avoidance collision avoidance will be enabled by the functionality of automated driving. Thus, if also dropped as a functional element of collision avoidance.)											
Image	Image of the functional element										
							Traffic				
			Lane change								
L	Communica	ntion	V2V	ion	Message		Status of traffic congestion				
unication 2.)	Connection mode		One-to-many	ory/ nformati	Sensor d	ata	-				
arks (comm rements, etc	Control usa	ge	Trajectory change, speed adjustment, stop	Data catego content of i	Rich con	tents	-				
Responsive		ness	Not required		Small						

x-13. Driving assistance based on traffic congestion information (V2V)

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Name o function	me of the actional element X14. Traffic congestion assistance at branches and exits (V2V)									
Target	arget areas Expressways + General roads Target vehicles Priv						vately owned vehicles			
Overvie	Derived Derived Deriv									
Reason conside function	Reason for not considering this functional element In V2V, the time margin from acquisition of information to stopping at the tail of a traffic congestion queue on the shoulder is limited, and it is difficult to change the trajectory.									
Image o	of the functio	nal eler	nent							
Shoulder										
Ę	Communica	ation	V2V	ion	Messag	e	Status of traffic congestion on			
unicatio c.)	Connection	mode	One-to-many	ory/ nformat	Sensor	data	Speed, location			
arks (comm irements, et	Control usa	ge	Speed adjustment, trajectory change	Data catego content of i	Rich content:	5	_			
Rem requi	Responsiver	ness	Not required	Data an	ount		Small			

x-14. Traffic congestion assistance at branches and exits (V2V)